



Turbulent Transport in Fusion Plasmas: Scaling Laws, Transport Models and Barriers

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Transport will be an important part of the ITER scientific programme

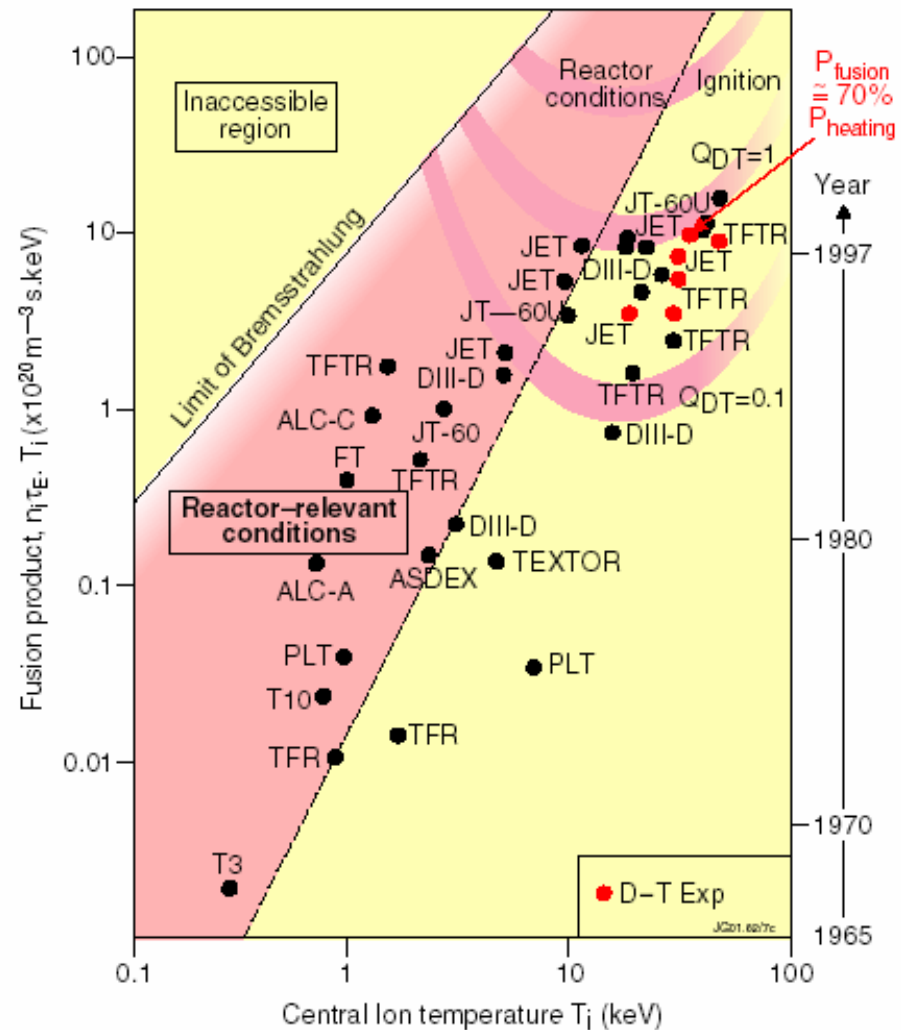
- Lawson criterion for ignition

$$n_D T_D \tau_E = 3 \cdot 10^{21} \text{m}^{-3} \cdot \text{keV} \cdot \text{s}$$

- Confinement

$$\tau_E = \frac{\text{Energy content}}{\text{Power losses}}$$

→ Transport





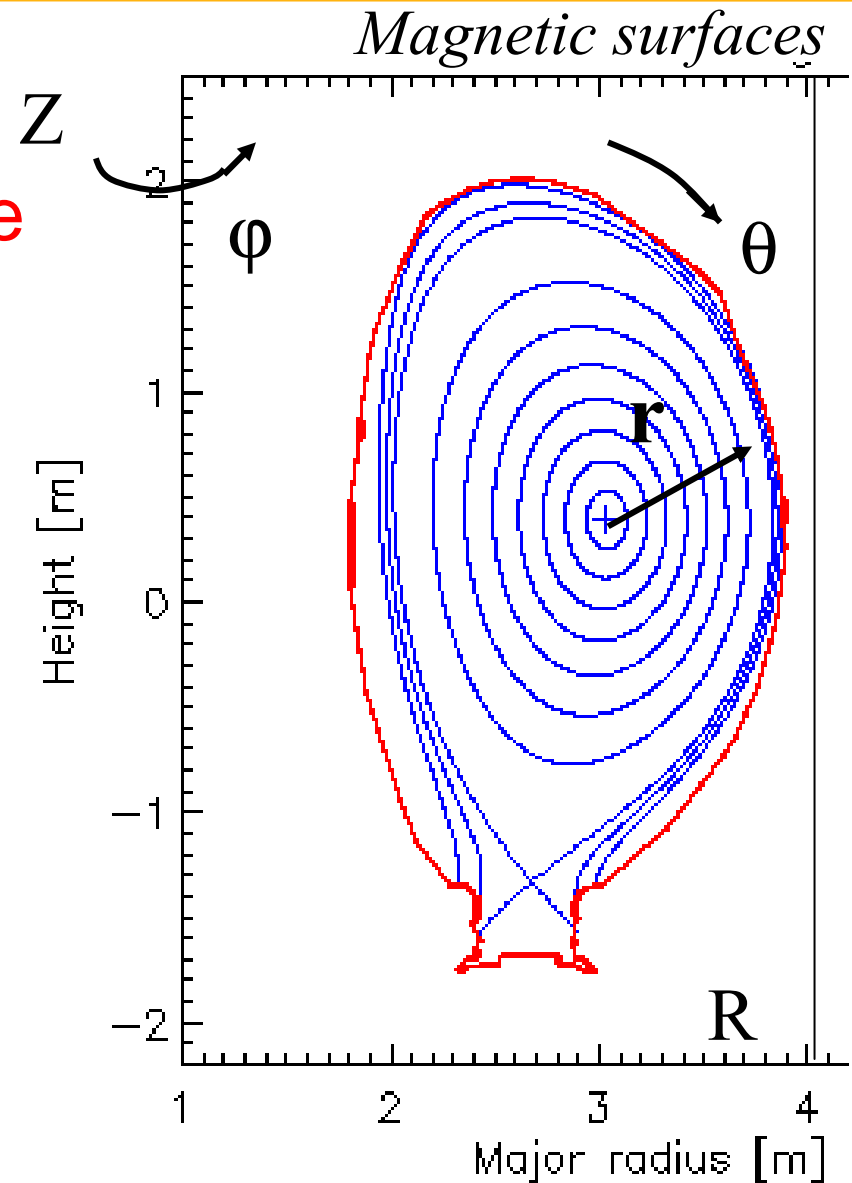
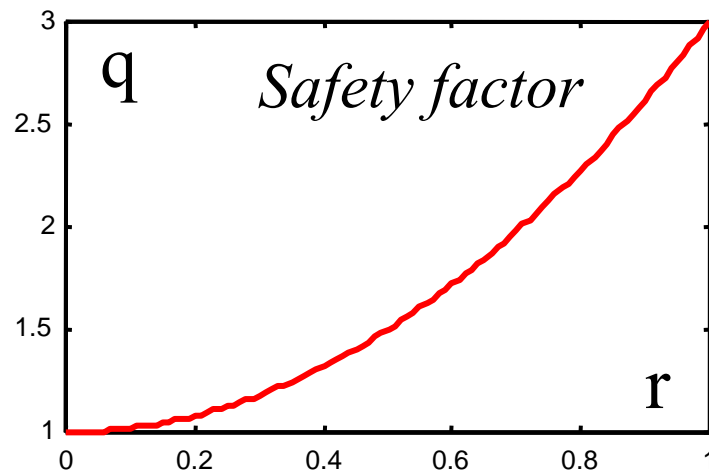
Outline

- **Basics** of turbulent transport : a reminder ...
- **Dimensionless scaling laws**
- **Building a transport model:** mixing-length estimate, profile stiffness and modulation experiments.
- **Improved confinement,** physics of Internal Transport Barriers.



Geometry

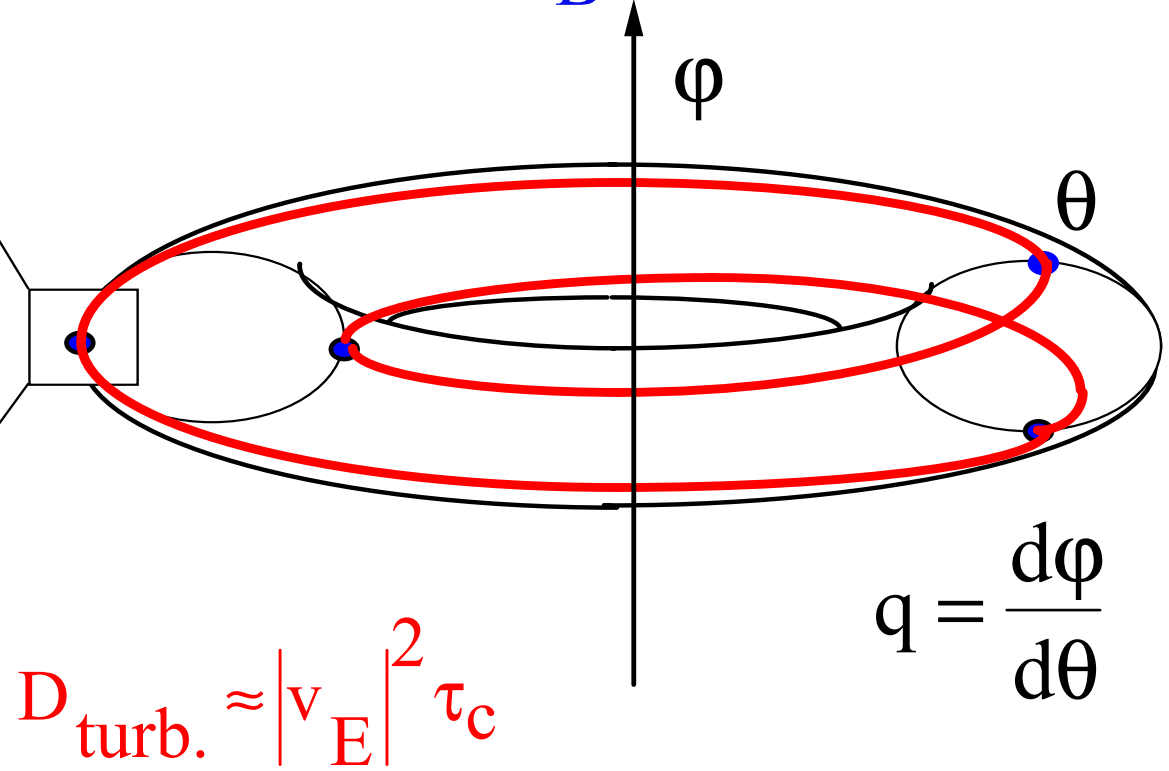
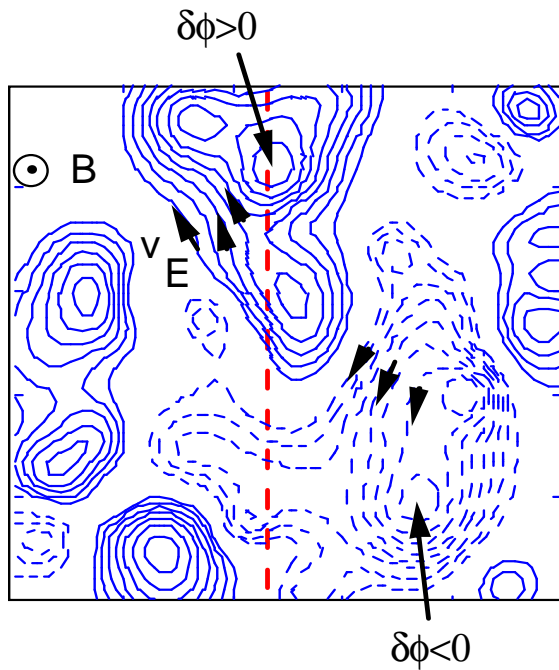
- Helicoidal field lines generate magnetic surfaces.
- Safety factor : $q(r) = \frac{d\phi}{d\theta}$
- Density and temperature are constant on magnetic surfaces.



Fluctuations of ExB drift velocity produce turbulent transport

ExB drift velocity

$$v_E = \frac{B \times \nabla \phi}{B^2}$$



$$D_{\text{turb.}} \approx |v_E|^2 \tau_c$$



Random walk process

- ExB drift

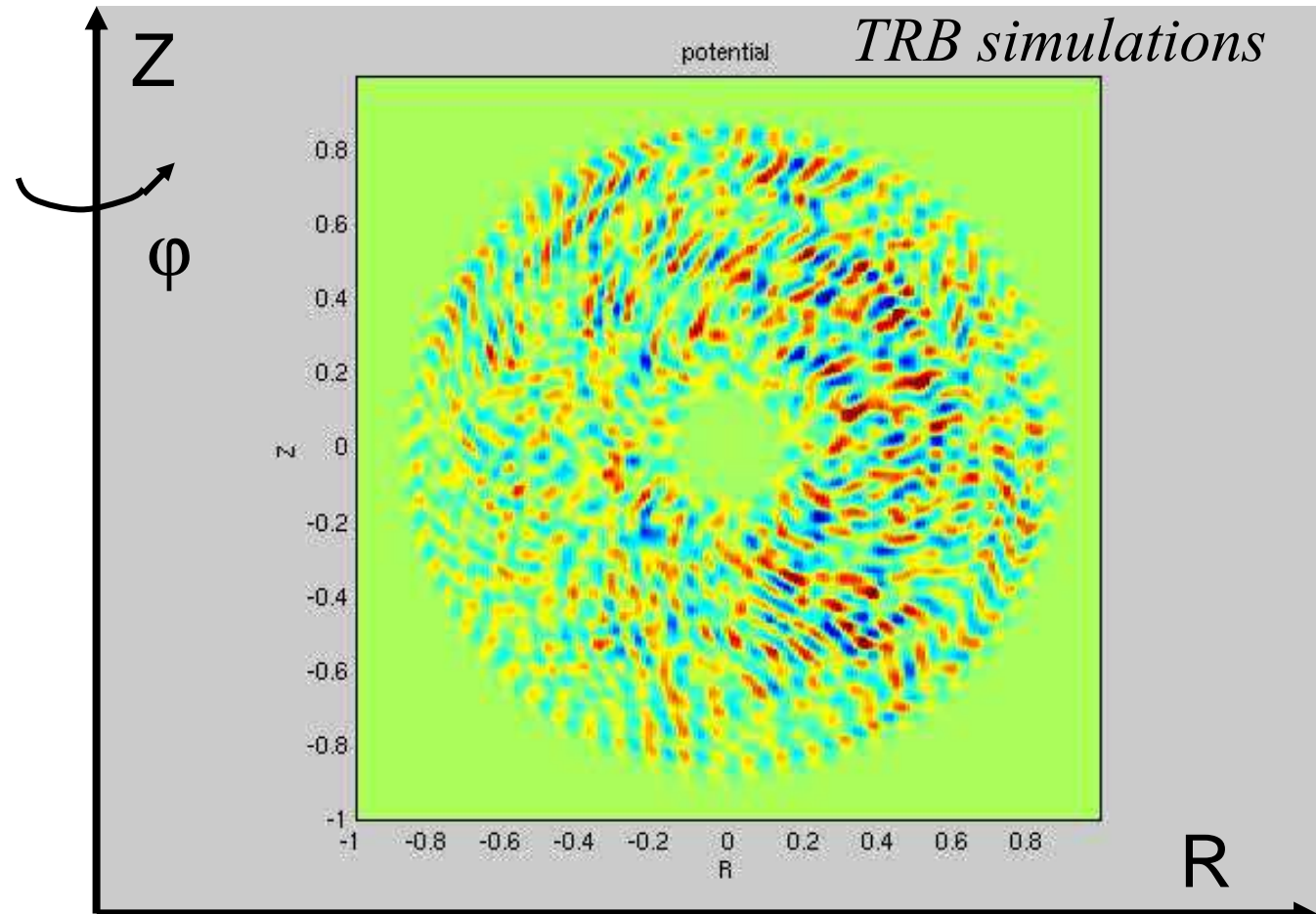
$$\mathbf{v}_E = \frac{\mathbf{B} \times \nabla \phi}{B^2}$$

- Turbulent diffusion

$$D_{\text{turb}} \propto |\mathbf{v}_E|^2 \tau_c$$
$$\propto L_c^2 / \tau_c$$

- Turbulent flux

$$\phi_E = \frac{3}{2} \langle \mathbf{p} \mathbf{v}_E \rangle$$



Contour lines of electric potential ϕ .



Electrostatic vs Magnetic Transport

Electrostatic
Low β

Magnetic
High β

Test Particles

$$\chi_{es} \approx |\delta v_E|^2 \tau_c$$

$$\chi_m \approx |\delta B/B|^2 L_c v_{||}$$

Fluid

$$q_r = 3/2 \langle \delta p \delta v_E \rangle$$

$$q_r = \langle \delta Q_{||} \delta B/B \rangle$$

Transport
channels

All

Electron heat

$$\chi_m \ll \chi_{es} \text{ except at high } \beta$$

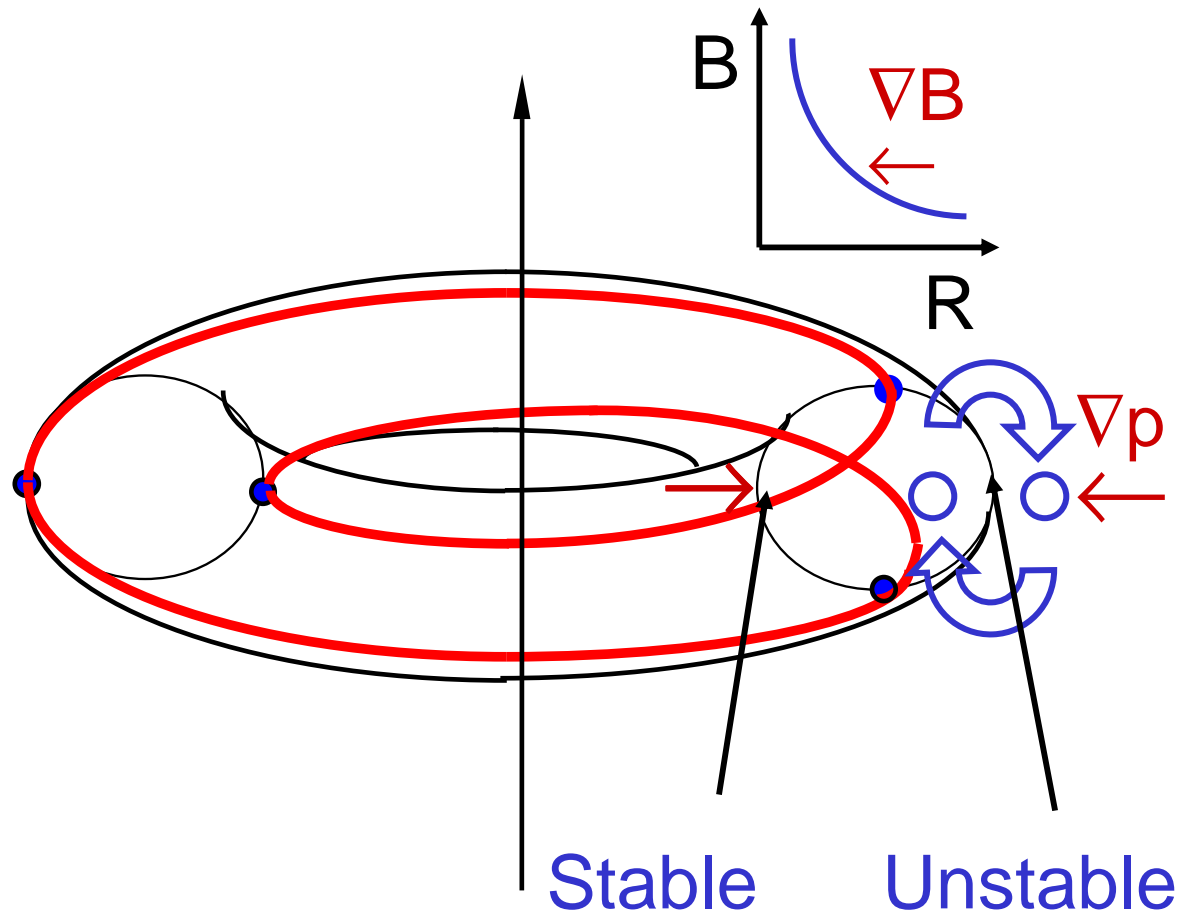


Main instabilities are interchange modes

- Exchange of two flux tubes is energetically favourable if

$$(v_E \cdot \nabla B)(v_E \cdot \nabla p) > 0$$

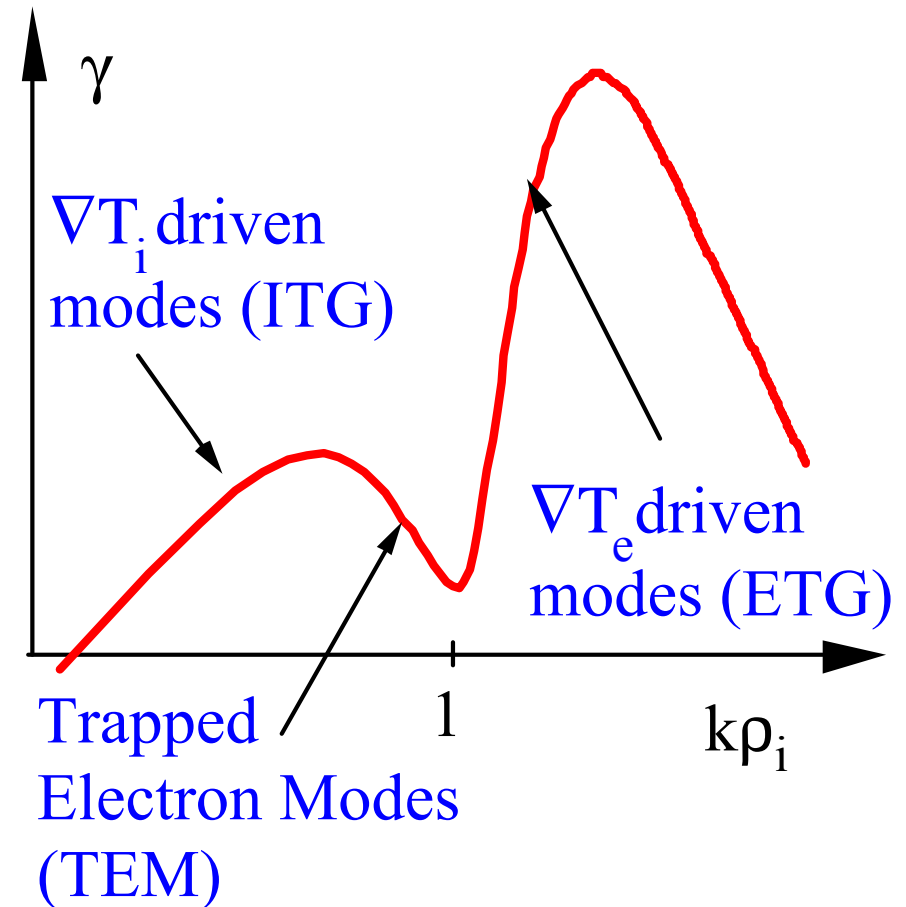
- Stable and unstable regions are connected by field lines.





Several branches are potentially unstable

- Ion Temperature Gradient modes: driven by passing ions, interchange + “ slab ”
- Trapped Electron Modes: driven by trapped electrons, interchange type.
- Electron Temperature Gradient modes: driven by passing electrons
- Ballooning modes at high β

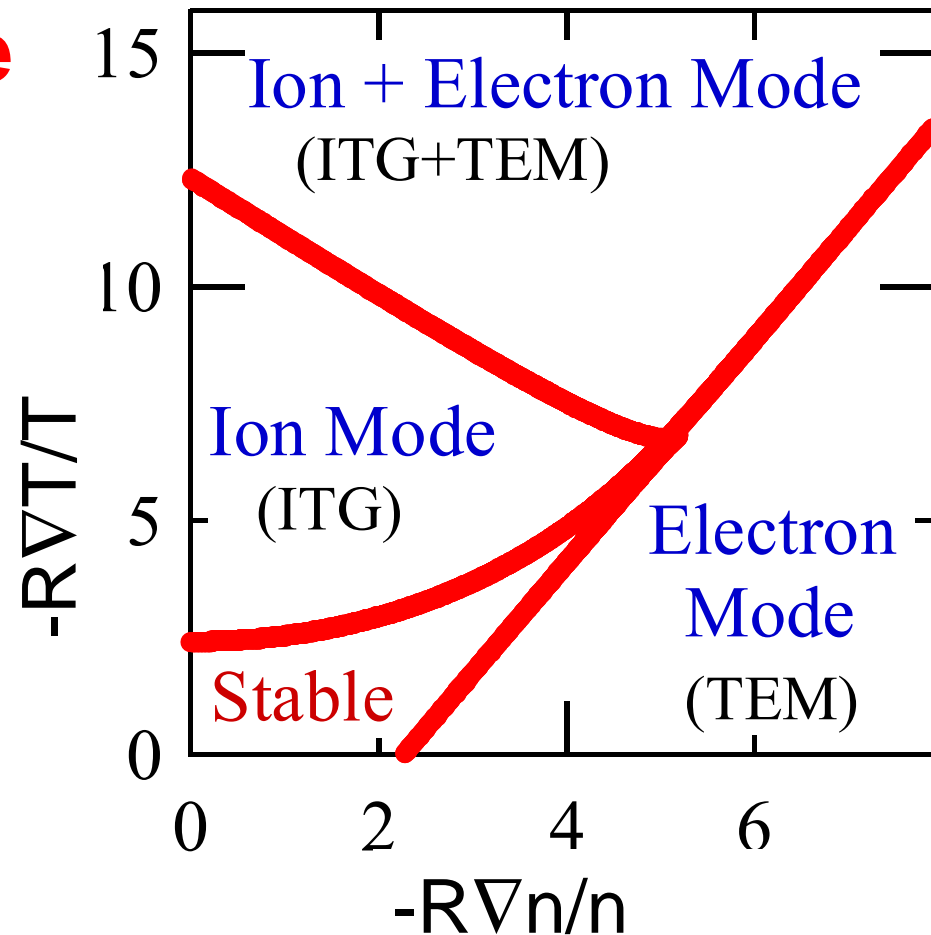




Electron and/or ion modes are unstable above a threshold

- Instabilities → turbulent transport
- Appear above a threshold κ_c .
- Underlie particle, electron and ion heat transport : interplay between all channels.

Stability diagram - Weiland model





Similarity principle

- Basics
- Scaling with normalized gyroradius
- Scaling with collisionality and β .



Dimensionless numbers

Kadomtsev '75

- Numbering of dimensionless parameters for a given set of plasma parameters
- 8 numbers for a pure e-i plasma

$$\text{I. } v^* = qR / \lambda_{\text{mfp}} \quad \rho^* = \rho_c / a \quad \beta = 2\mu_0 p / B^2$$

$$\text{II. } A = R / a \quad \tau = T_e / T_i \quad q$$

$$\text{III. } \mu = m_e / m_i \quad N = n_e \lambda_d^3$$

- Implications on confinement time, **II and III given**

$$\omega_c \tau_E = \tau(\rho^*, \beta, v^*)$$



Scale invariance

Connor&Taylor '77

- Analysis of scale invariance of Fokker-Planck equation coupled to Maxwell equations → **local relations.**
- **If geometry, profiles, and boundary conditions are fixed, plasma is neutral, then**

$$\chi = \frac{T}{eB} \bar{\chi}(\rho^*, \beta, v^*)$$



Dimensionless scaling is a powerful tool to predict transport in a next step device

Similarity principle

$$\omega_c \tau_E = F(\rho_*, \beta, v_*)$$

Normalised gyroradius:

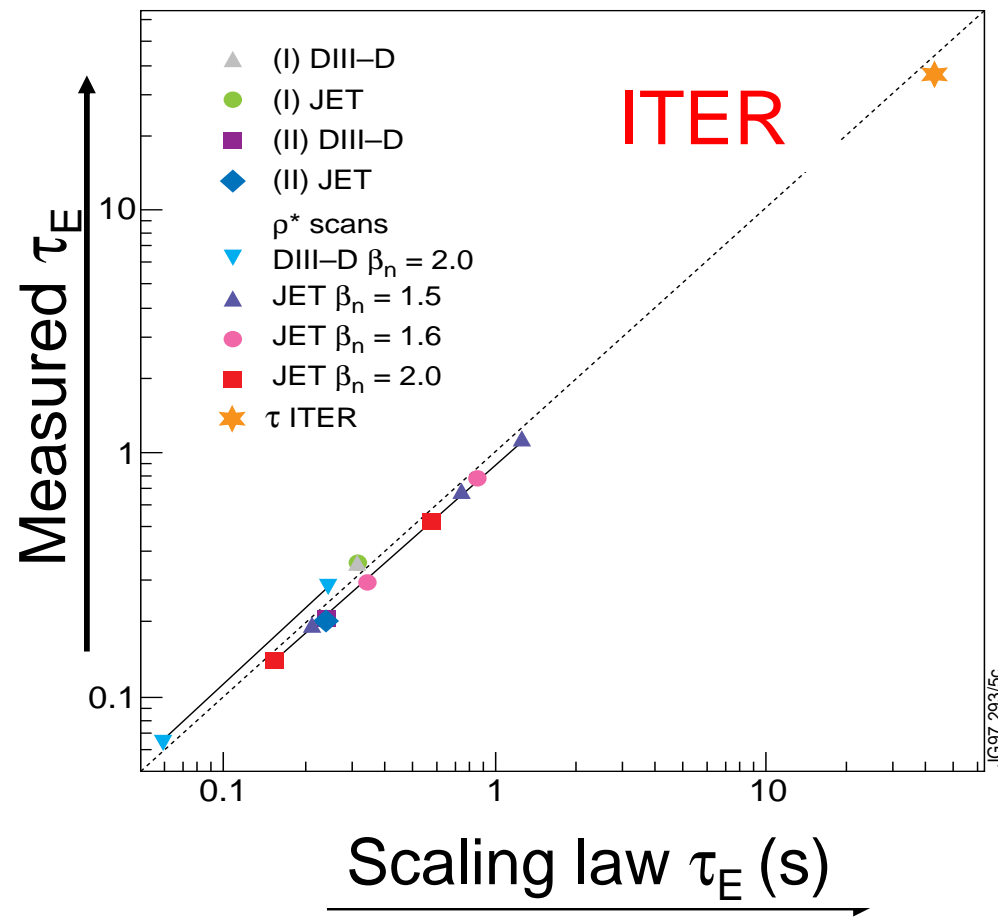
$$\rho_* = \frac{\rho_c}{a}$$

beta:
$$\beta = \frac{p}{B^2 / 2\mu_0}$$

collisionality:

$$v_* = \frac{v_{\text{coll}}}{c_s / R}$$

Measured τ_E vs fit, ITPA





β has a weak influence on confinement

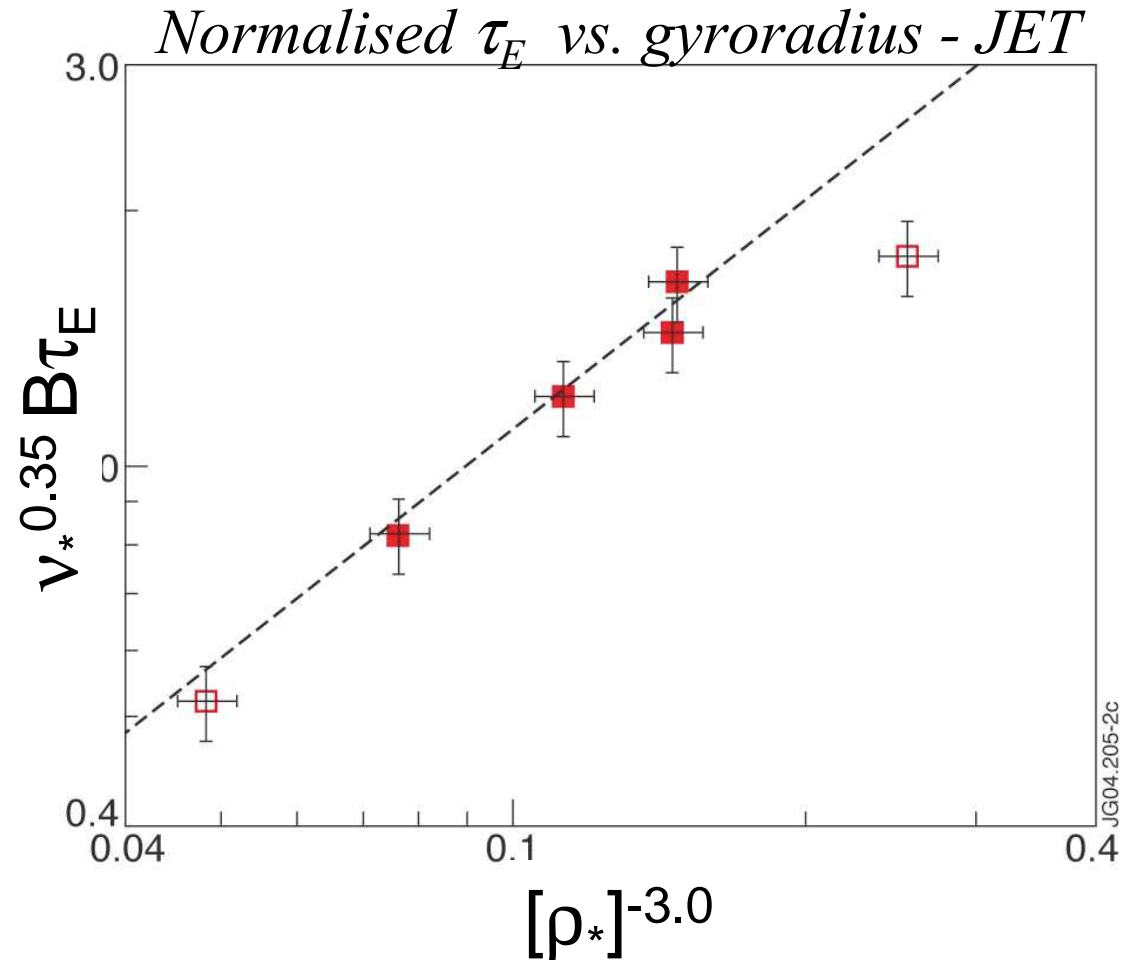
- Experiments on DIII-D and JET

$$\omega_c \tau_E \equiv \rho_*^{-3.0} \beta^{0.0} v_*^{-0.35}$$

- Consistent with electrostatic turbulent transport :

$$L_c \equiv \rho_c \text{ and } \tau_c \equiv R/c_s$$

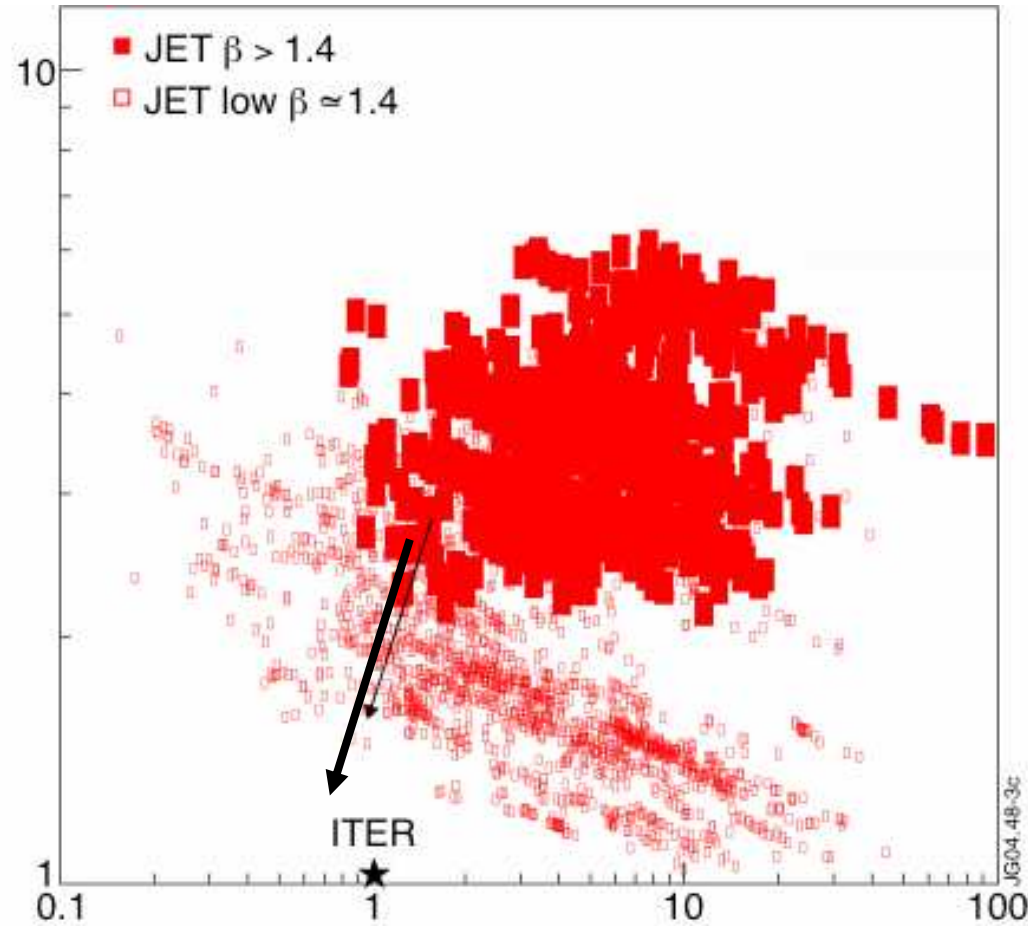
$$\omega_c \tau_E \equiv \rho_*^{-3.0} \beta^{0.0} v_*^?$$





ρ_* and v_* will be smaller in ITER

ρ_* / ρ_{*ITER}



v_* / v_{*ITER}



Important for transport models

- At fixed β and v^* ,

$$\frac{L_c}{a} \equiv [\rho_*]^{-\frac{\alpha+1}{2}} \quad \gamma \equiv \frac{c_s}{a} \rightarrow \chi \equiv \frac{T}{eB} [\rho_*]^\alpha$$

- Two main cases: $\alpha=1$ (gyroBohm) and $\alpha=0$ (Bohm).

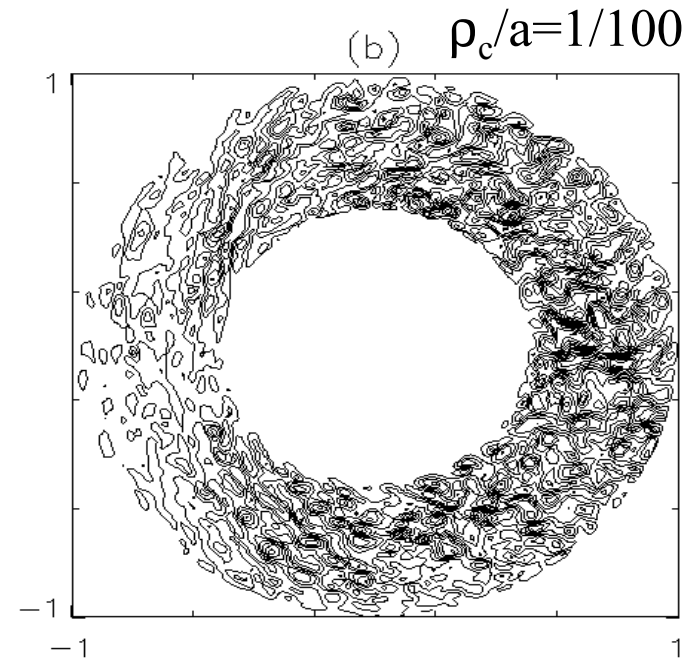
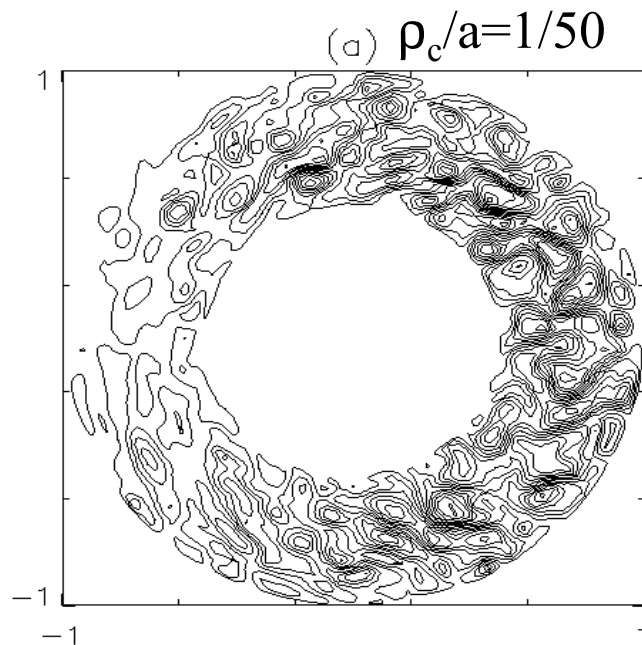
- Theory predicts that when $\rho_* \rightarrow 0$, the scaling is gyroBohm

$$\chi \equiv \frac{T}{eB} \rho_*$$



An example of gyroBohm scaling

- Simulations where the scale ρ^* is changed by a factor 2
- Agree with $L_c \equiv \rho_c$ and $D \equiv (T/eB) \rho_c/a \rightarrow \omega_c \tau_E \equiv \rho_*^{-3} F(\beta, v_*)$

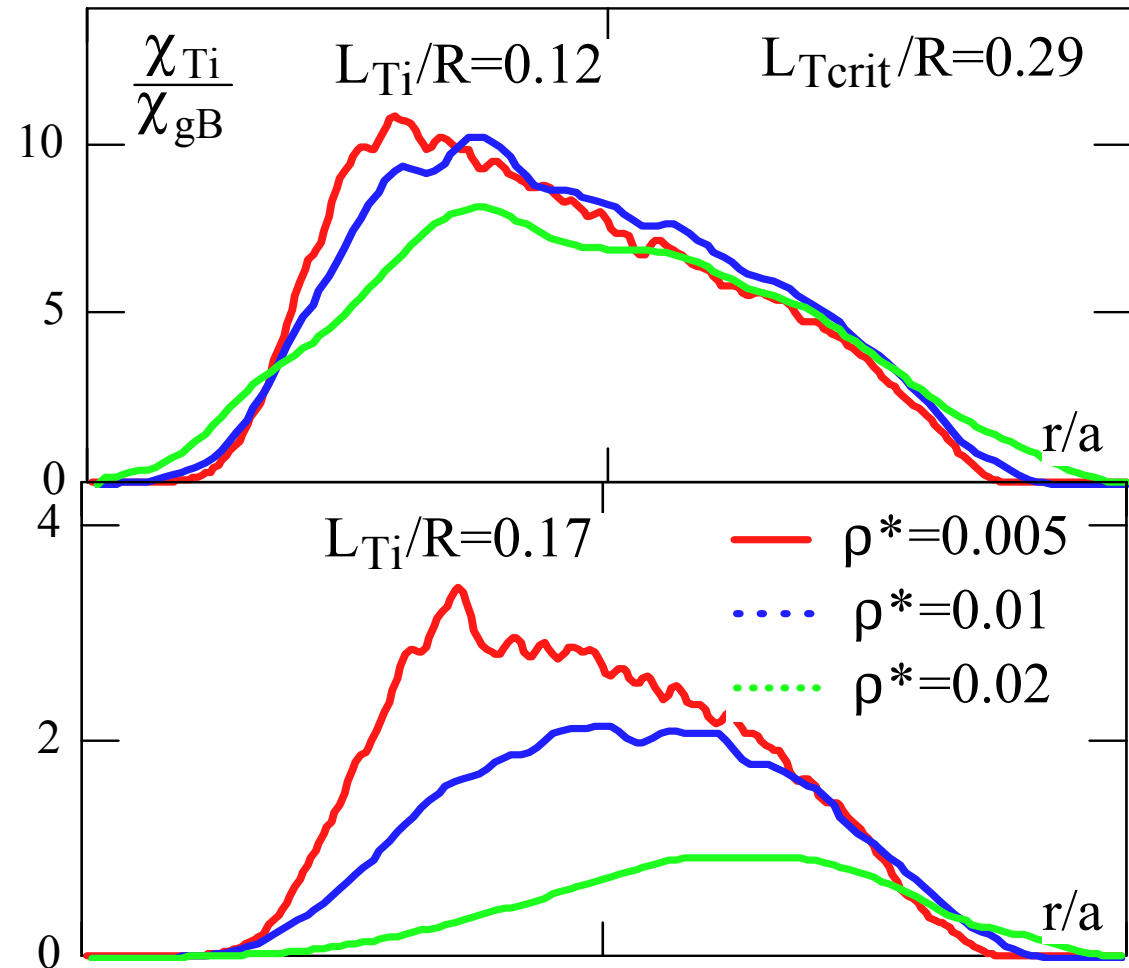


Ottaviani 99



Investigating scaling laws

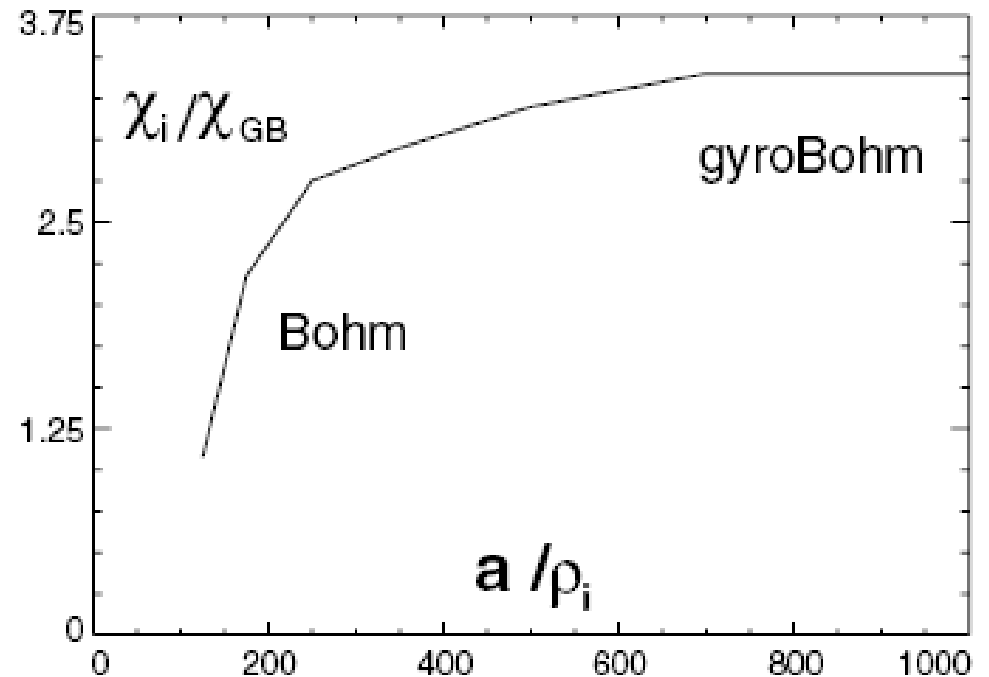
- When $\rho_* \rightarrow 0$, scaling is found to be gyroBohm
- Some departure from gyroBohm is found for $\rho_* > 10^{-2}$
- gyroBohm is the most favorable scaling





Scaling is gyroBohm when $\rho^* \rightarrow 0$

- Gyrokinetic and fluid simulations find that the scaling is **gyroBohm** when $\rho^* \rightarrow 0$
- The transition value of ρ^* is still subject to debate.



Lin 02



GyroBohm scaling law is favorable for ITER

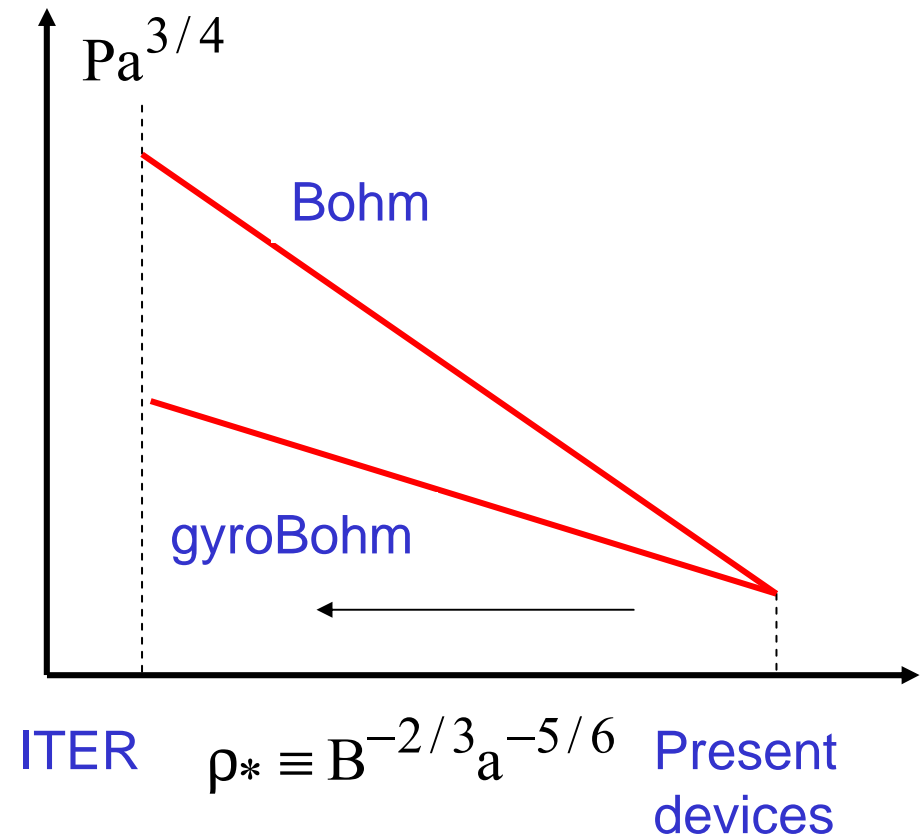
- At constant β and v^* the normalised loss power $Pa^{3/4}$ is a function of

$$\rho_* \equiv B^{-2/3} a^{-5/6}$$

only

$$Pa^{3/4} \equiv [\rho_*]^{\alpha-5/2}$$

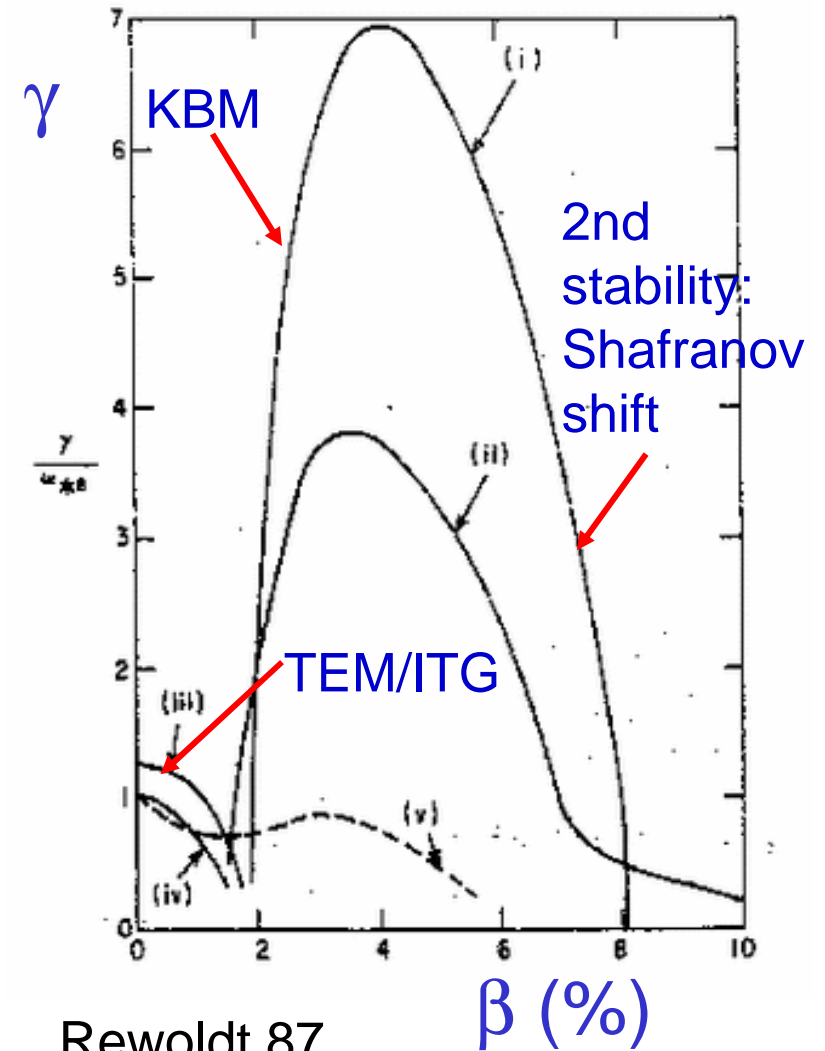
- GyroBohm scaling corresponds to the lowest losses.





β scaling

- β controls the effects of magnetic fluctuations.
- β also controls the Shafranov shift (2nd stability).
- Linear stability combines these 2 effects.



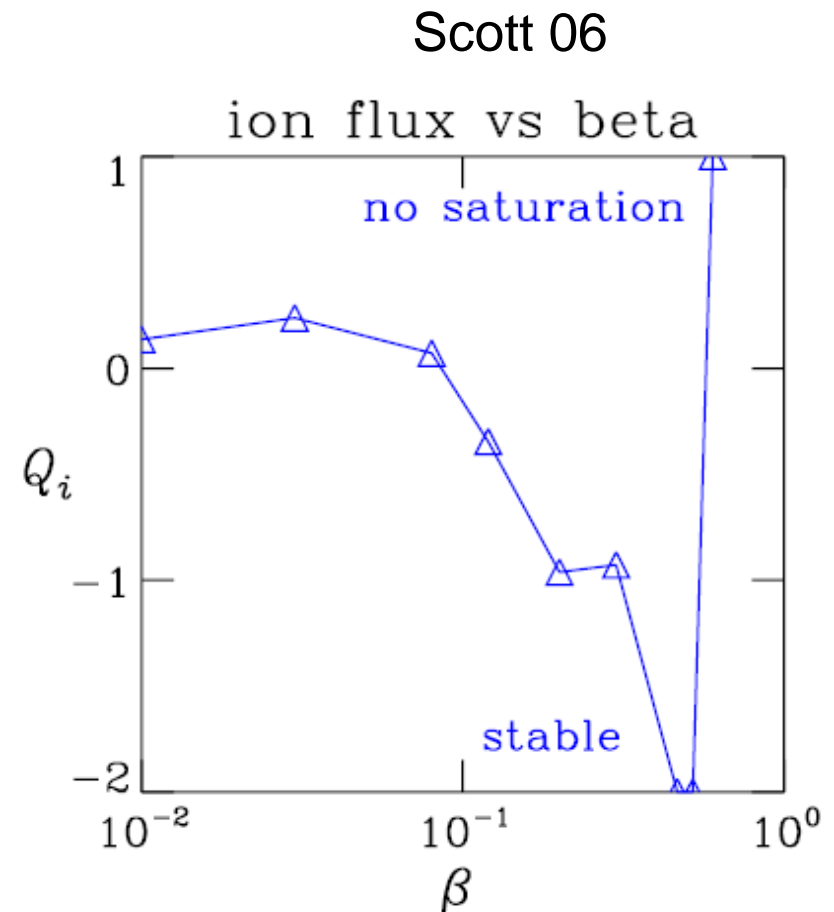


β scaling (cont.)

- Strong degradation expected at high β

Camargo 96, Snyder 01 , Scott 01 & 06

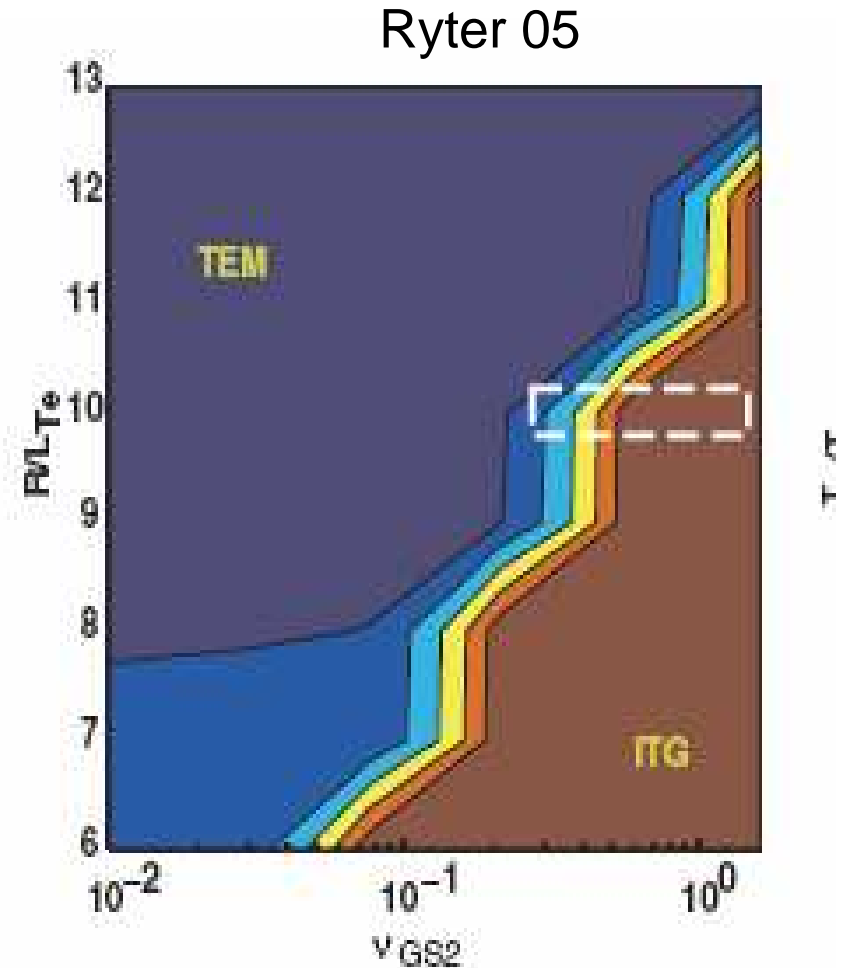
- Critical β for transition debated.
- No β dependence observed on DIII-D, JET and TS, but seen on JT-60U and AUG.





ν^* scaling: trapped electrons

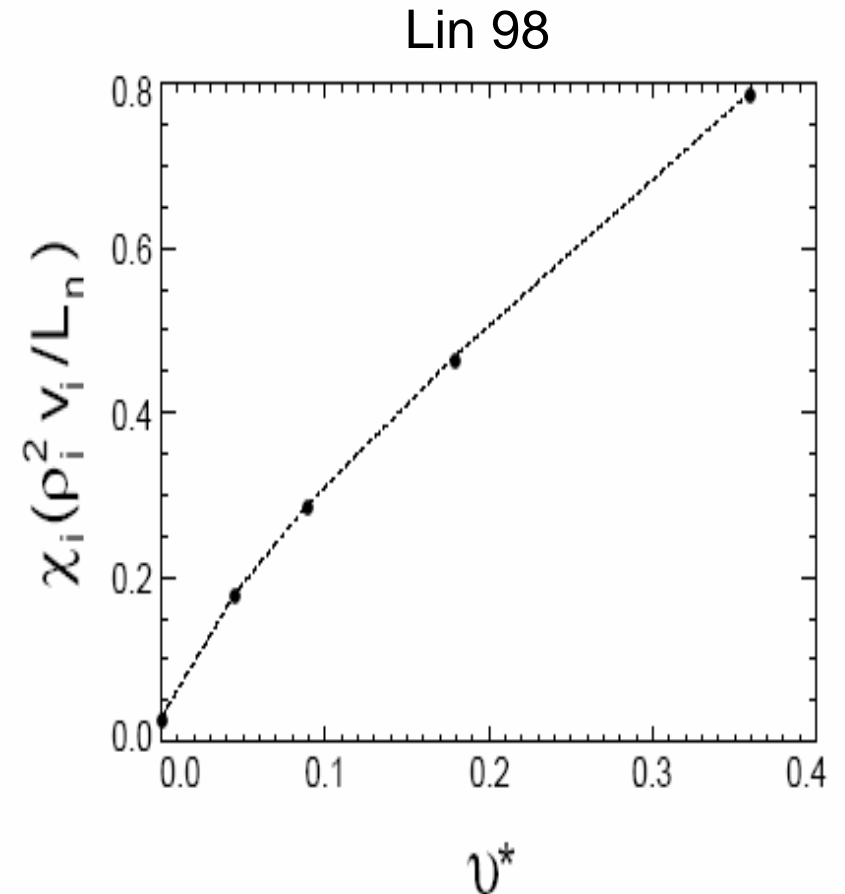
- Collisionality stabilizes TEM
→ $\omega_c \tau_E$ should be an increasing function of ν^* .
- Should affect χ_e more than χ_i → might be invisible on τ_E .





v^* scaling: zonal flows

- Collisions damp zonal flows \rightarrow
 $\omega_c \tau_E$ should be a decreasing
function of v^*
- Found in numerical simulations
Lin '98 , Falchetto '05
- Compete with effect on
trapped electrons.

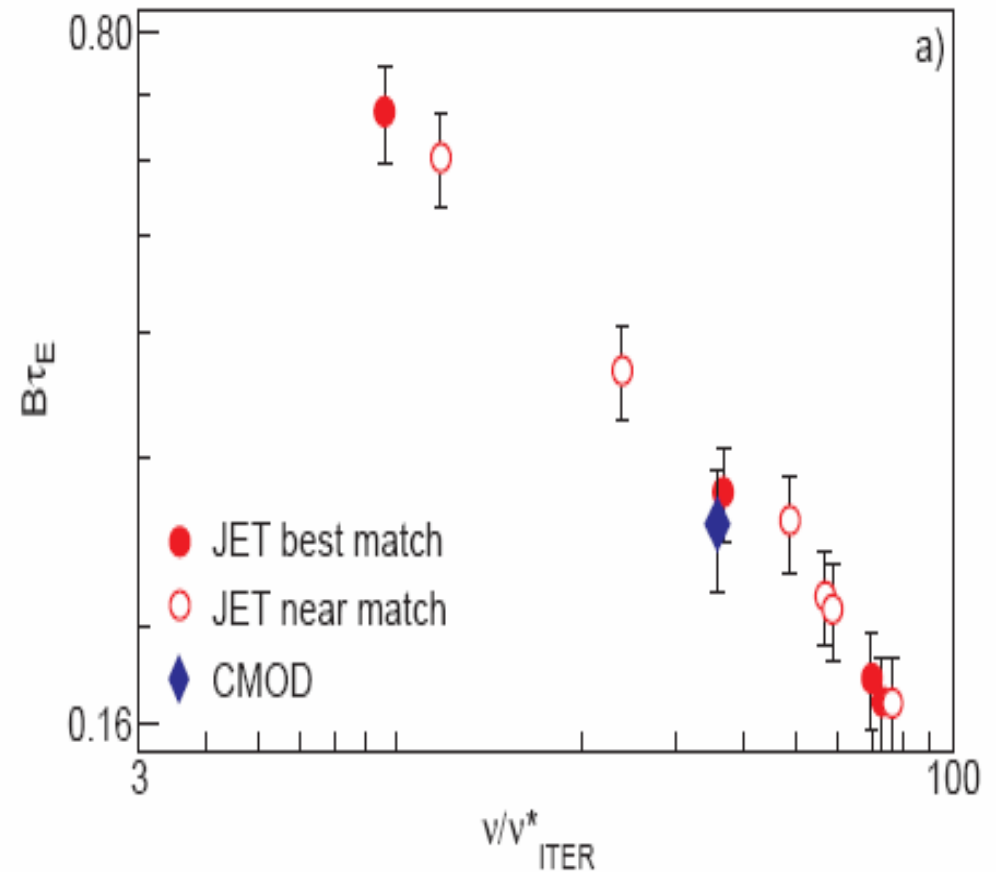




No a definite scaling with v^*

- $\omega_c \tau_E$ is a decreasing function of v^*
- Not a definite scaling
 $\omega_c \tau_E \equiv [v^*]^{-0.3}$ at low v^*
 $\omega_c \tau_E \equiv [v^*]^{-0.8}$ at high v^*
- May reflect competing effects.

McDonald 06





Building a Transport Model

- Mixing Length Estimate.
- Combining similarity and mixing-length estimate
- A simplified model: critical gradient model



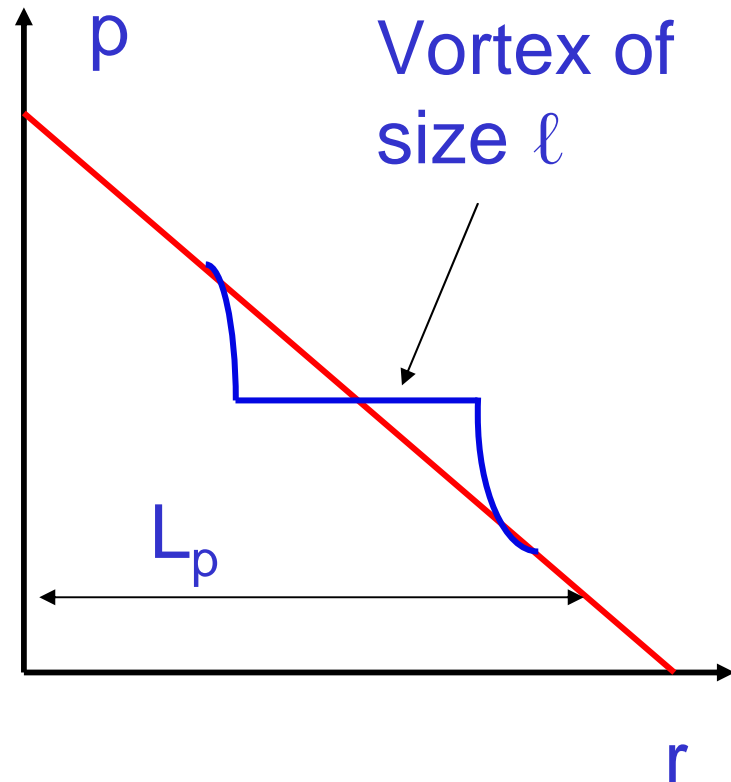
Mixing-length estimate : level of fluctuations

- Mixing of the pressure profile by vortex of size ℓ

$$\frac{\delta p_\ell}{p} \approx \frac{\ell}{L_p}$$

- With a bit of cooking ...

$$\frac{e\phi_k}{T} \approx \frac{\delta p_k}{p} \approx \frac{\gamma_k}{\omega_k^2 + \gamma_k^2} \frac{1}{k_\perp L_p}$$





Mixing-length estimate : diffusion

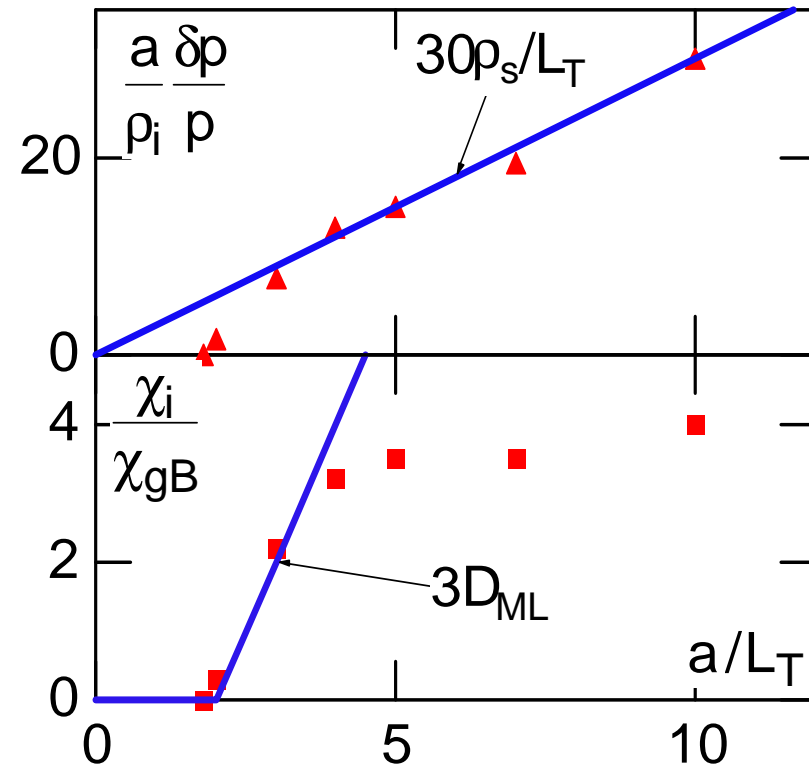
- Quasi-linear diffusion

$$D = \sum_k |v_{Ek}|^2 \tau_{ck}$$

- Combining with mixing-length estimate

$$D = \sum_k \frac{\gamma_k}{k k_{\perp}^2}$$

Waltz 1994





Critical Gradient Model

- Rules for correlation length and time :

$$L_c \equiv \rho_s \quad \gamma \equiv \frac{c_s}{R} \left(\left| \frac{RdT}{Tdr} \right| - \kappa_c \right)^\sigma$$

- Mixing length estimate :

$$\chi_T = \chi_s \left(\frac{T \rho_s}{eB R} \right) \left(\left| \frac{RdT}{Tdr} \right| - \kappa_c \right)^\sigma$$

Stiffness GyroBohm Threshold

- Can be extended to more complex models → Weiland and GLF23 models.

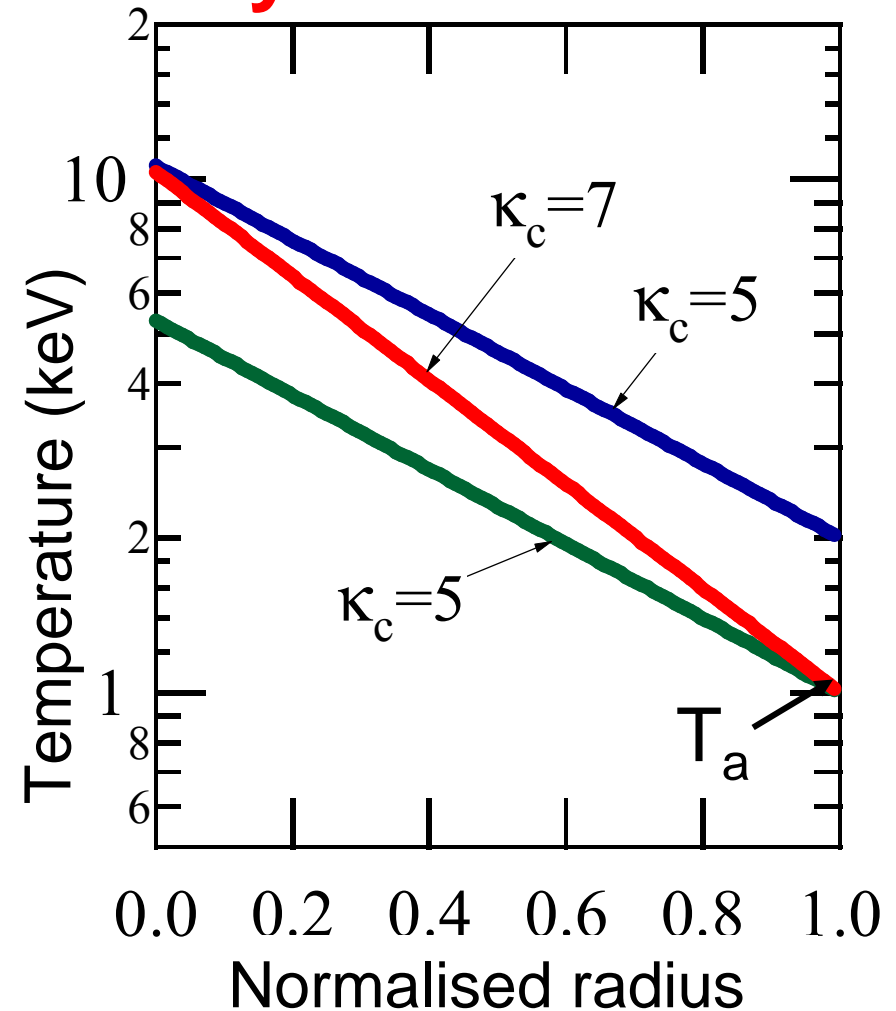


A useful, but controversial, concept : marginal stability

- Marginally stable profile

$$T = T_a e^{\kappa_c \frac{a-r}{R}}$$

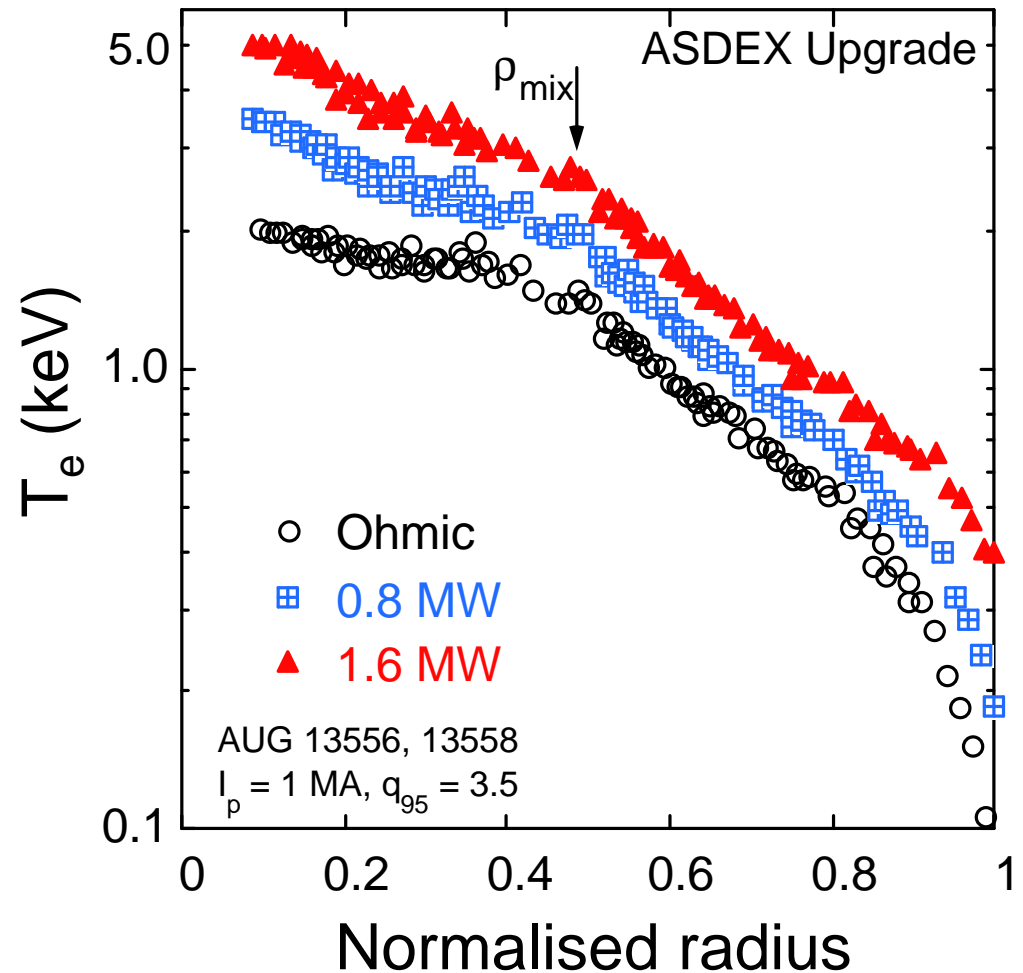
- **Stiffness**: tendency of profiles to stay close to marginal stability.
- Central temperature is improved if
 - threshold κ_c is larger
 - edge pedestal T_a is higher.





Profiles are not marginally stable everywhere

- Edge plasma gets closer to the threshold for high T_{edge}
- Core plasma is subcritical.





Modulation experiments provide a stringent test of transport models

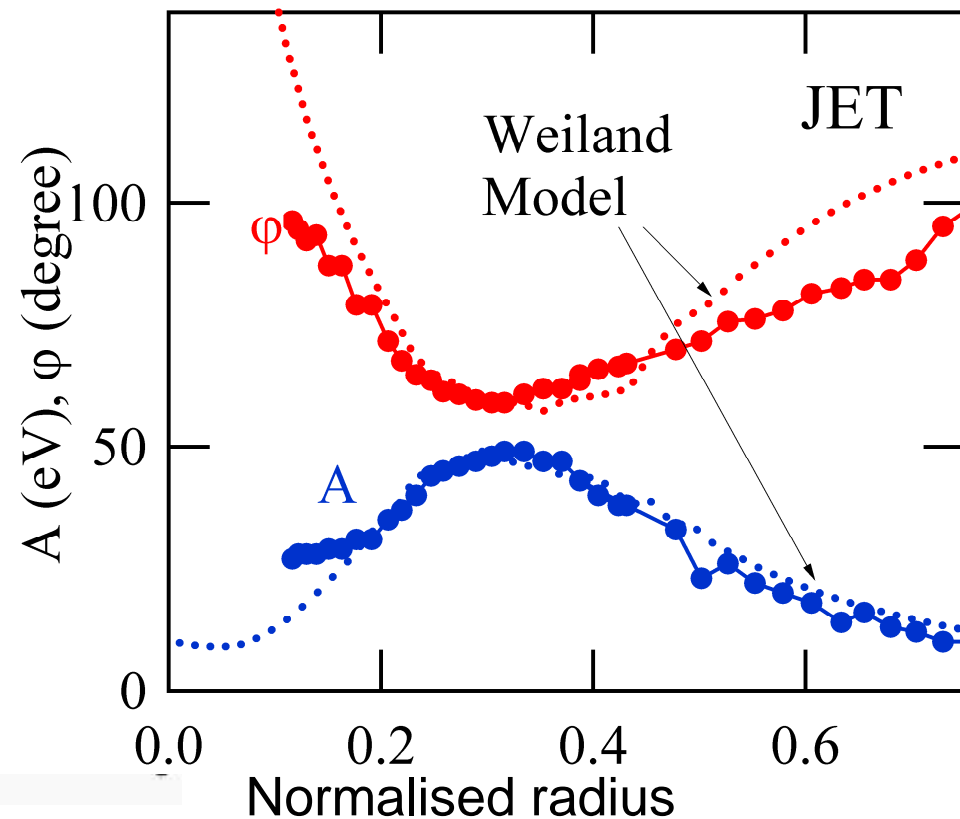
- Localised electron heat modulation.
- Slope $\sim 1/[\chi_{hp}]^{1/2}$

$$\chi_{hp} = \chi + \nabla T \partial \chi / \partial \nabla T$$

→ Assessment of transport models.

→ stiffness χ_s and threshold κ_c .

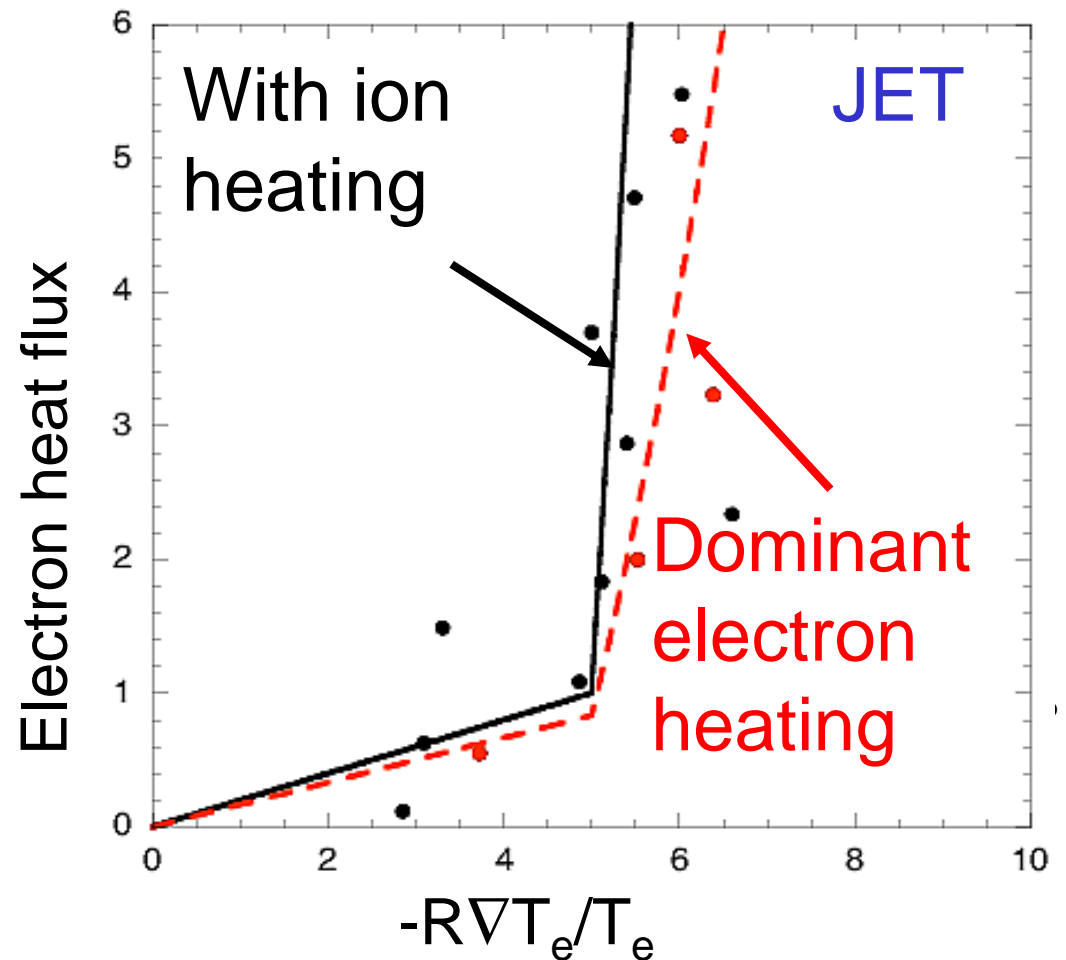
Phase and amplitude vs radius





Stiffness is found to be highly variable

- Critical gradient model:
 - threshold as expected.
 - large variation of stiffness.
- Reproduced by transport modeling and stability analysis
- Transition from electron to ion turbulence is key issue.





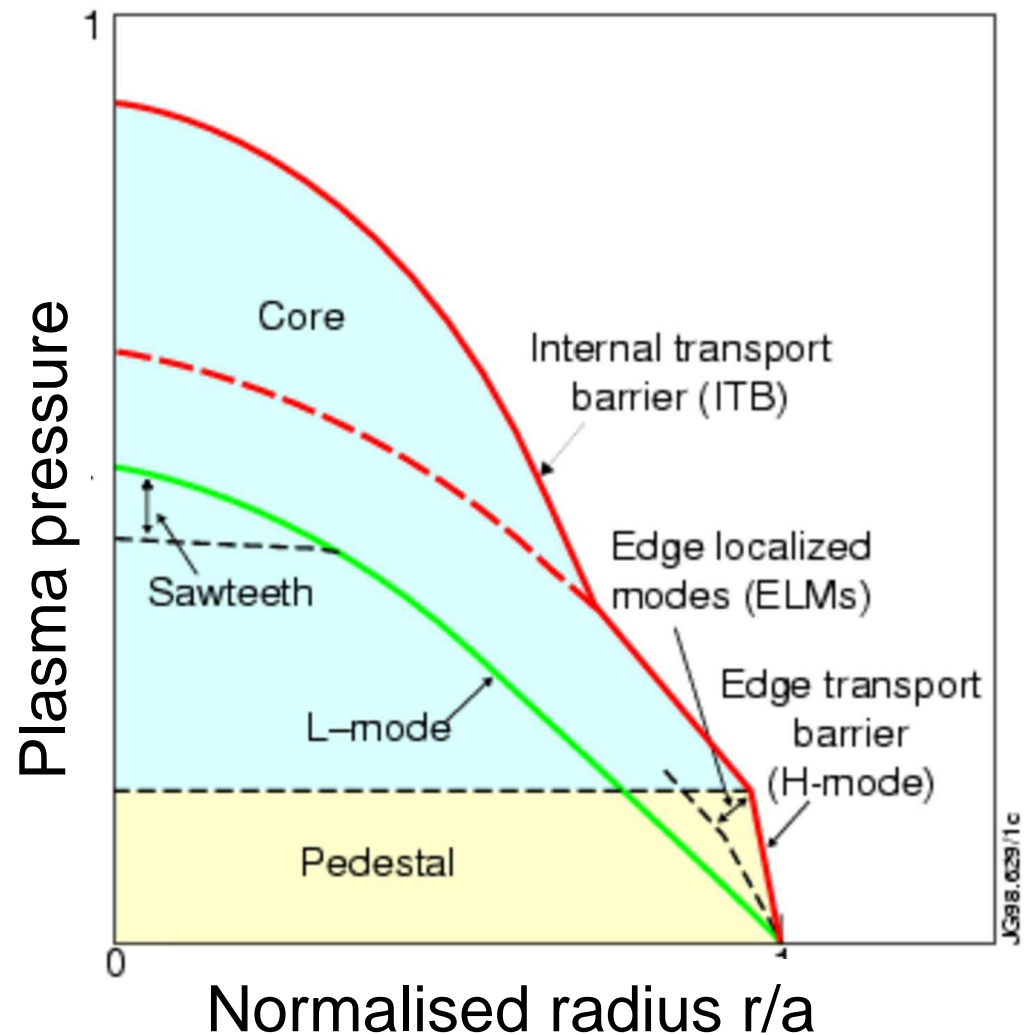
Improved confinement

- Shear flow
- Negative magnetic shear
- Transport barriers
- Consequences



Several “regimes” in a tokamak plasma

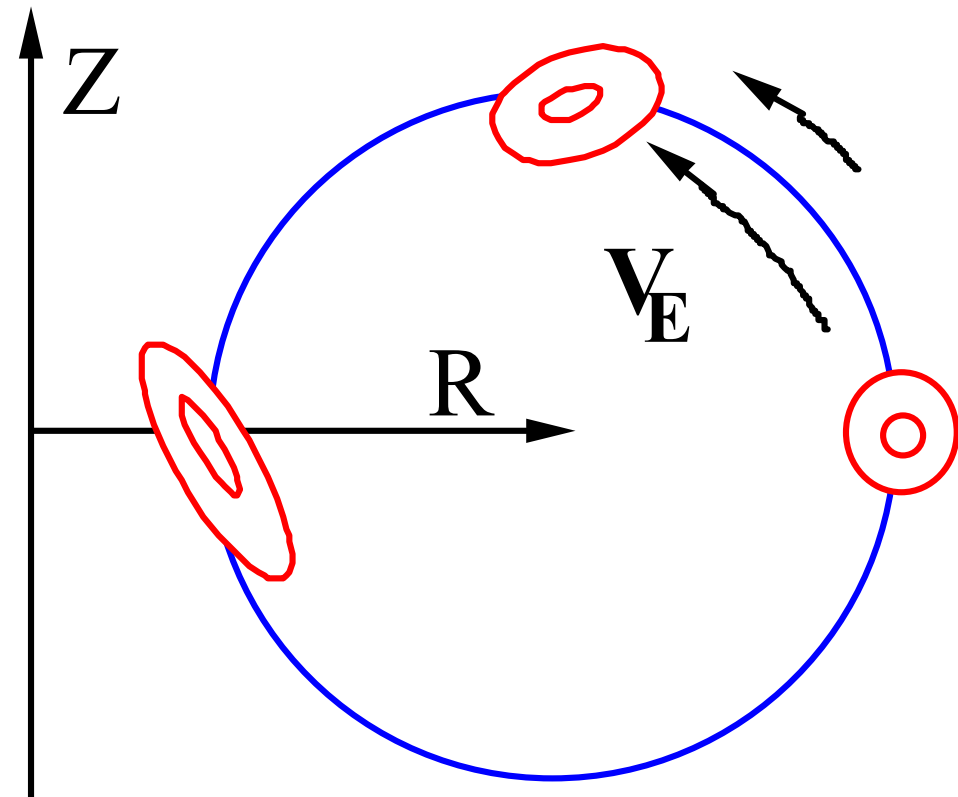
- **L-mode**: basic plasma, turbulence everywhere.
- **H-mode**: low turbulent transport in the edge, formation of a pedestal.
- **Internal Transport Barrier**: low turbulent transport in the core, steep profiles.





Several mechanisms may lead to improved confinement

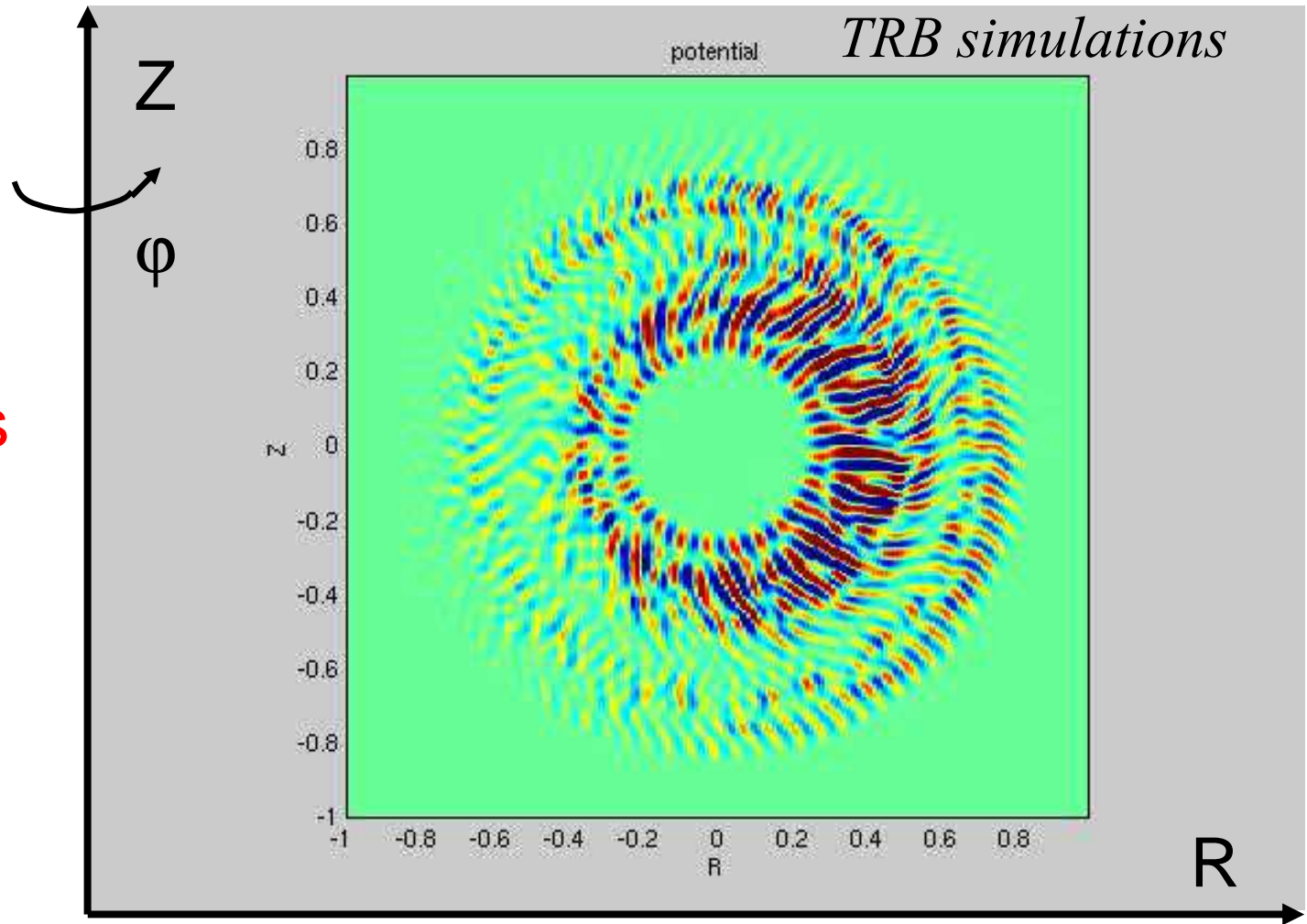
- Flow shear
- Magnetic shear
- T_e/T_i , Z_{eff} , density gradient, fast particles... : not generic





Shear flow is stabilising

- $E \times B$ velocity shear tears apart large scale vortices
- Very generic mechanism.



Contour lines of electric potential.



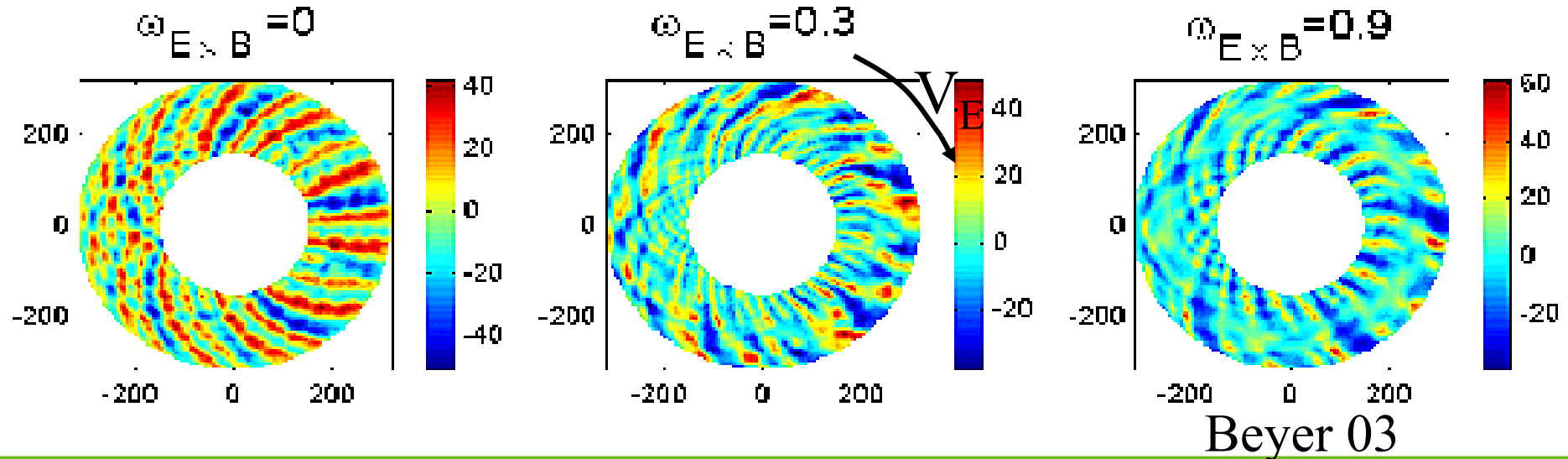
Flow Shear Stabilization

- Shear rate $V'_E = \frac{dV_E}{dr}$
- Approximate criterion for stabilization

$$\left(Dk_\theta^2 V_E'^2 \right)^{1/3} > \tau_c^{-1} \quad V'_E > \gamma_{lin}$$

Biglari-Diamond-Terry 90

Waltz 94





Controlling the Flow

- Force balance equation

$$E_r = \frac{T_i dn_i}{e_i n_i dr} + (1 - k_{neo}) \frac{dT_i}{e_i dr} + V_{Ti} B_p$$

Fuelling

Heating

Toroidal
momentum

→ power threshold!

- Flow generation

$$\partial_t V_\theta = -\nabla_r \langle \tilde{V}_{Er} \tilde{V}_{E\theta} \rangle - v_{neo} (V_\theta - V_{eq})$$



Implementation in a transport model

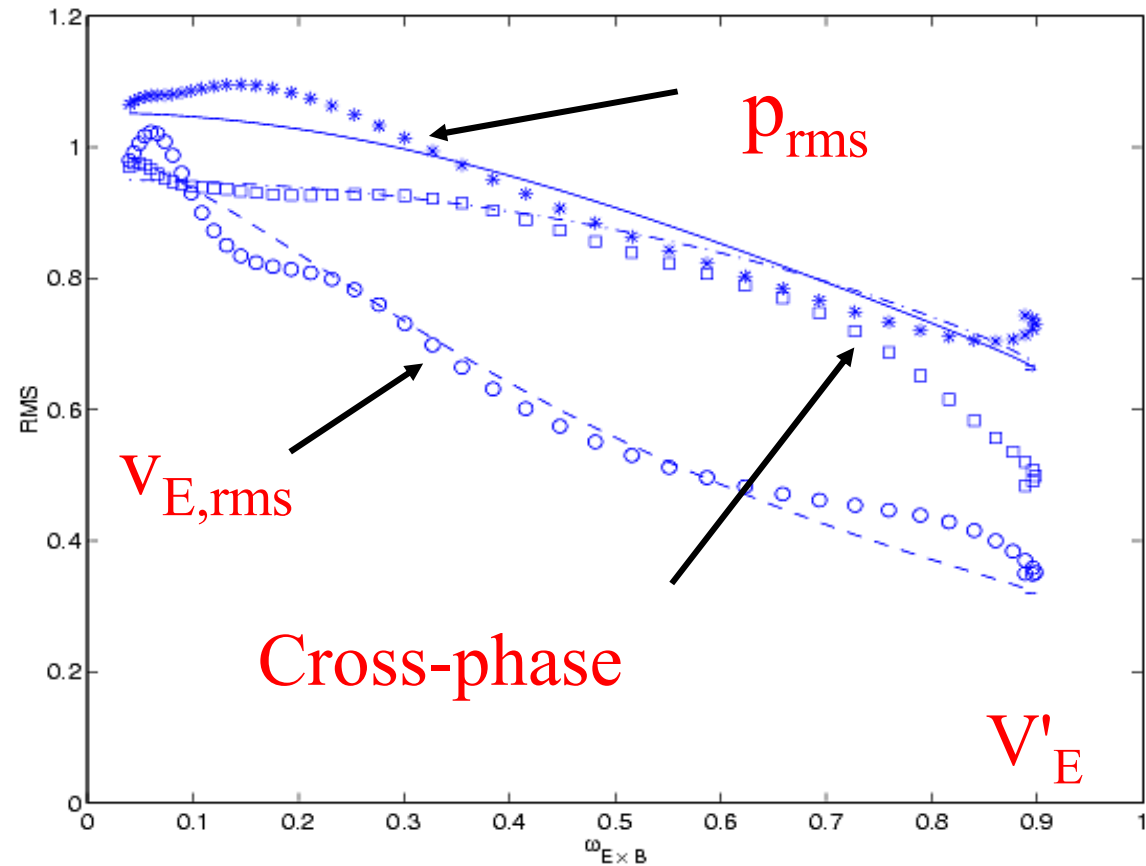
- Form factor $F(\gamma_E)$

$$F = \frac{1}{1 + [\gamma_E]^2}$$

or

$$F = 1 - \frac{\gamma_E}{\gamma_{\max}}$$

Figarella 03



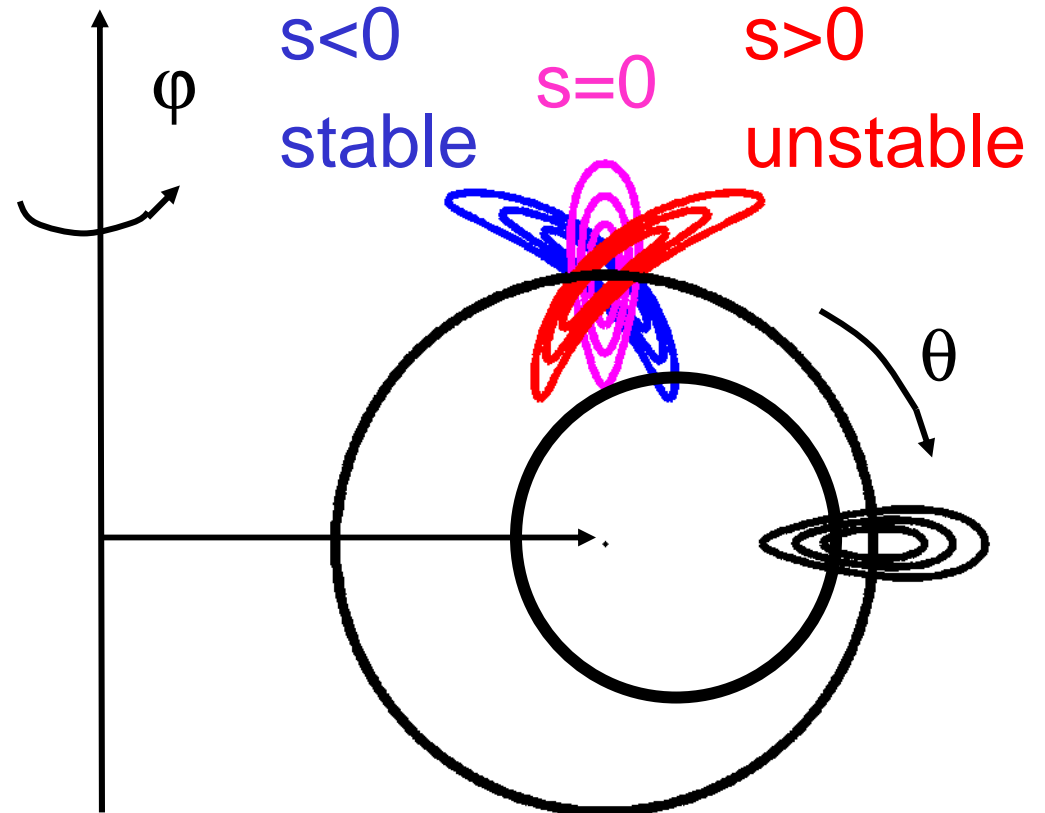
Negative magnetic shear is stabilising

- Magnetic shear :

$$s = \frac{r}{q} \frac{dq}{dr}$$

- $s < 0$: favourable average of interchange drive $(\mathbf{v}_E \cdot \nabla \mathbf{B})(\mathbf{v}_E \cdot \nabla p)$ along field lines.
- Enhanced by geometry effect.

*B.B.Kadomtsev, J.Connor,
M.Beer, J.Drake, R.Waltz,
A.Dimits, C.Bourdelle...*

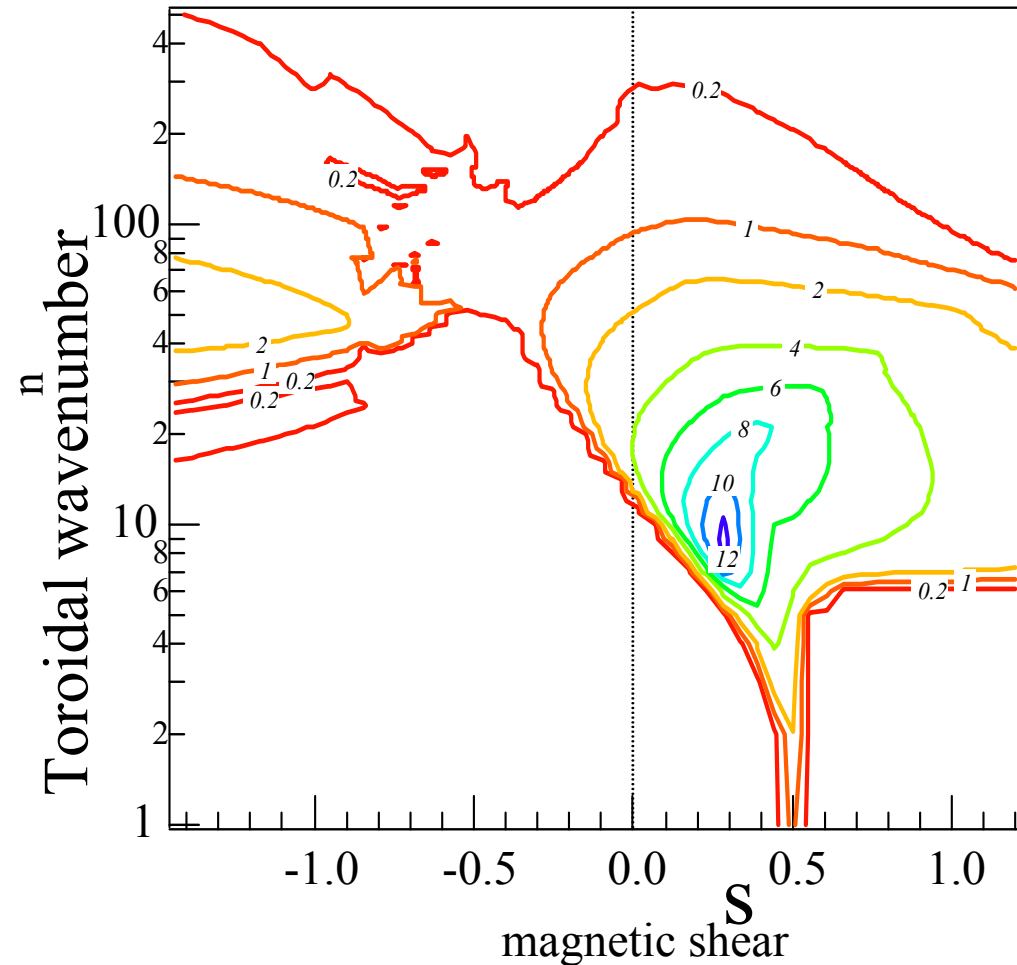


Vortex distortion



Magnetic shear: linear stability

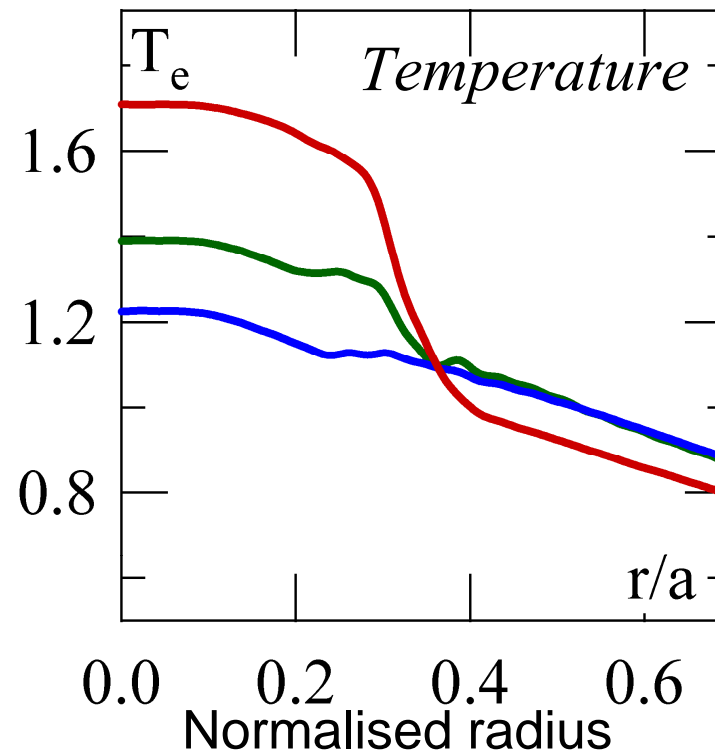
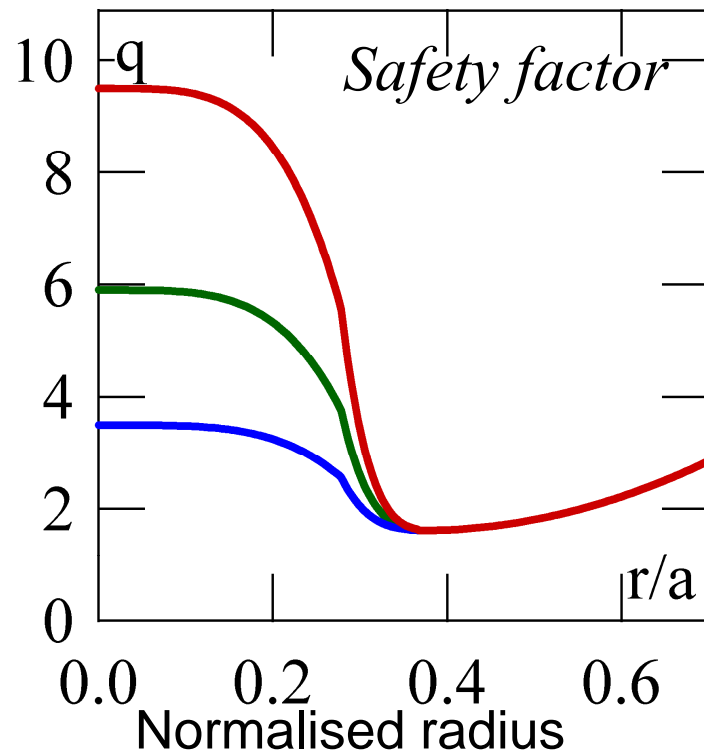
- ITG modes are stabilized by **average curvature effect**
- TEM stabilization occurs via **reversal of precession frequency** with negative s .





Negative magnetic shear is a robust effect

- Turbulence simulations : stabilisation for $s < -0.5$
- Agrees with experiment (TORE SUPRA, TCV, FTU, JET, AUG ...)



*TRB
simulations*



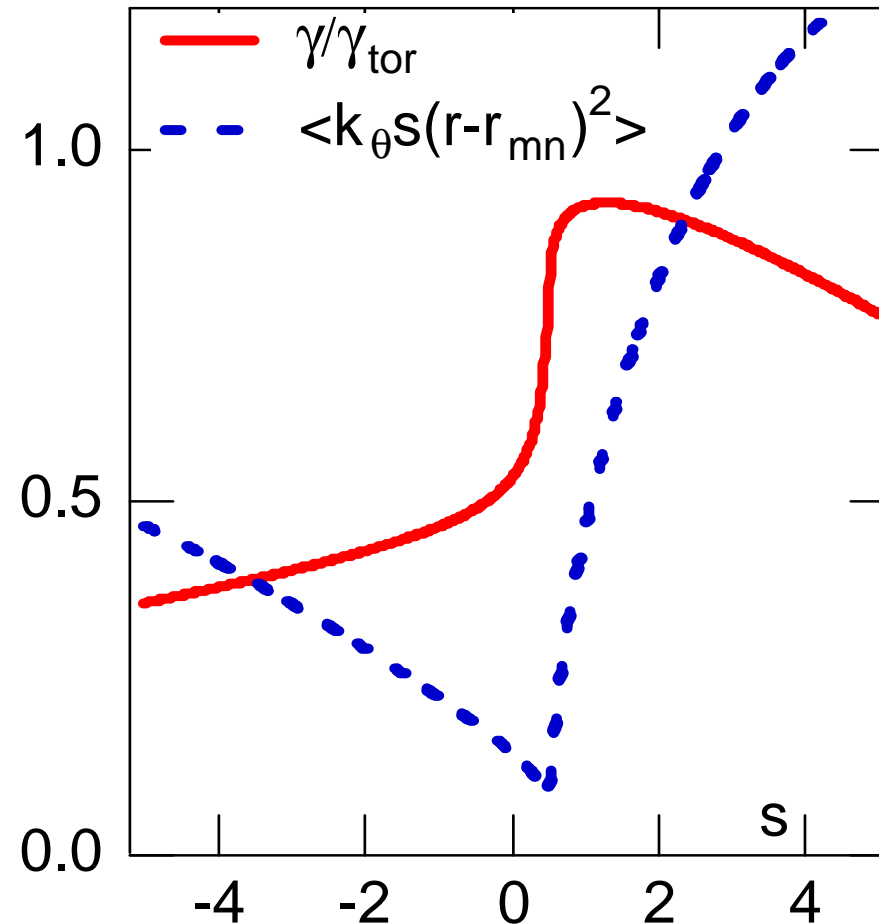
Implementation in a Transport Model

- Mixing-length estimate with actual growth rates.

- Form factor $F(s)$

$$\chi_T = F(s)\chi_T(\text{noshear})$$

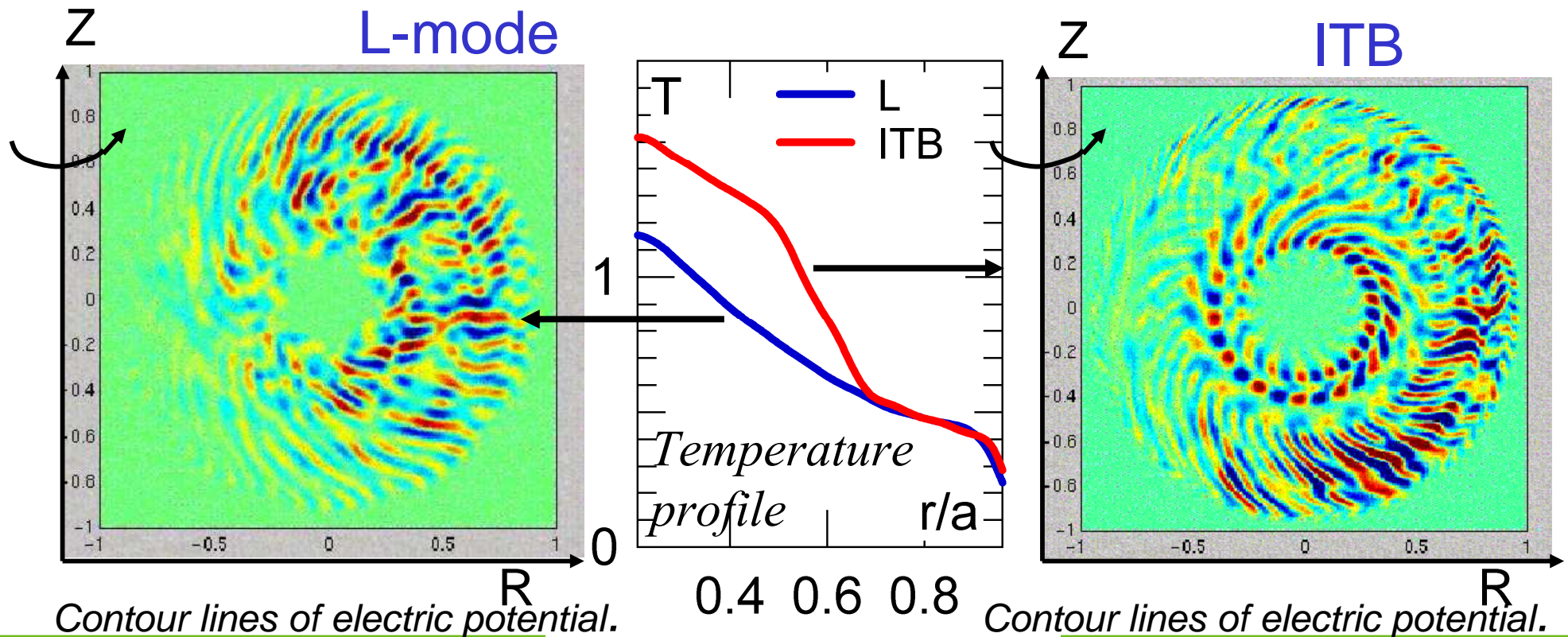
- Power threshold?





Internal Transport Barriers

- Transport barriers are layers of plasma where turbulent transport is reduced.
- Requires a minimum amount of power → triggering?





Synergy between magnetic shear and shear flow at transition

- Force balance equation

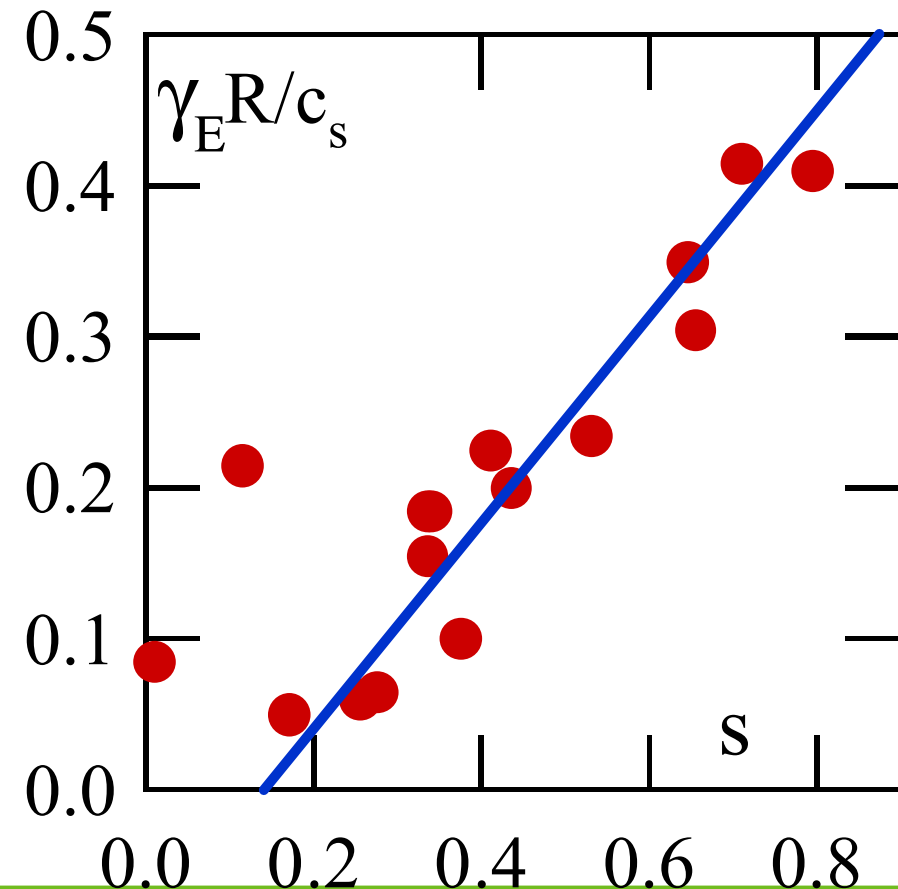
$$n_i e_i (\mathbf{E} + \mathbf{V} \times \mathbf{B}) - \nabla p_i = 0$$

→ in a reactor plasma

$$\gamma_E / \gamma_{lin} \approx \rho_* \ll 1$$

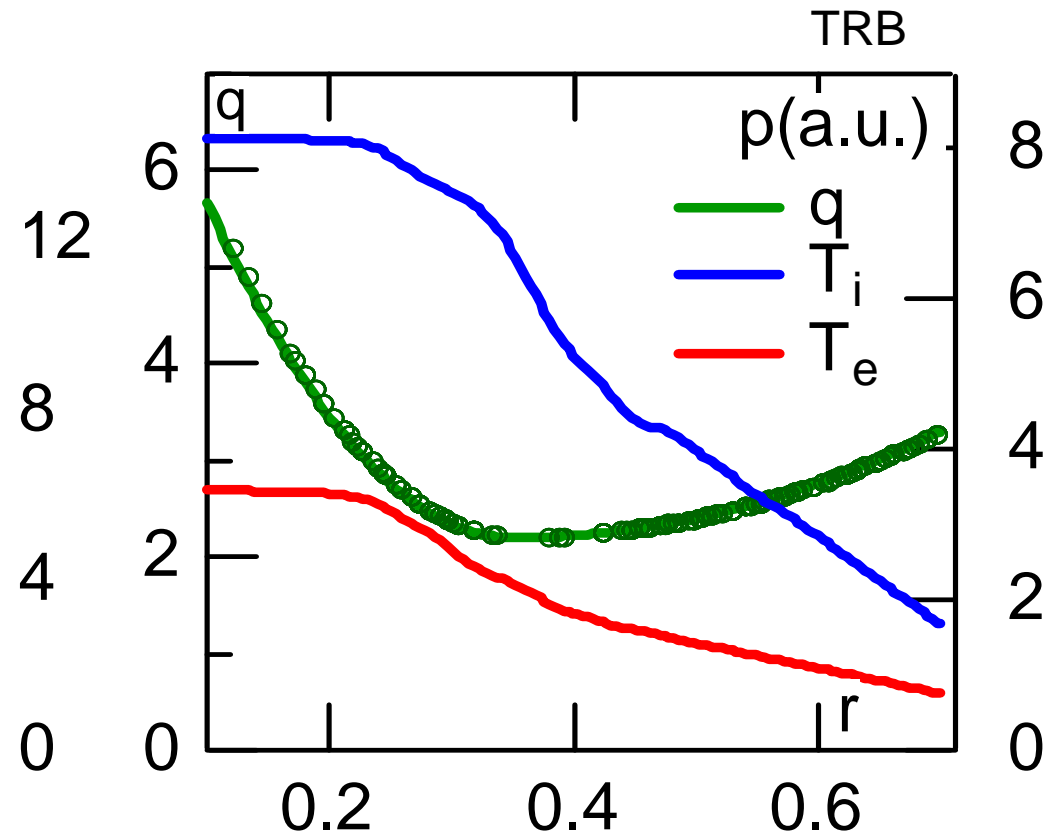
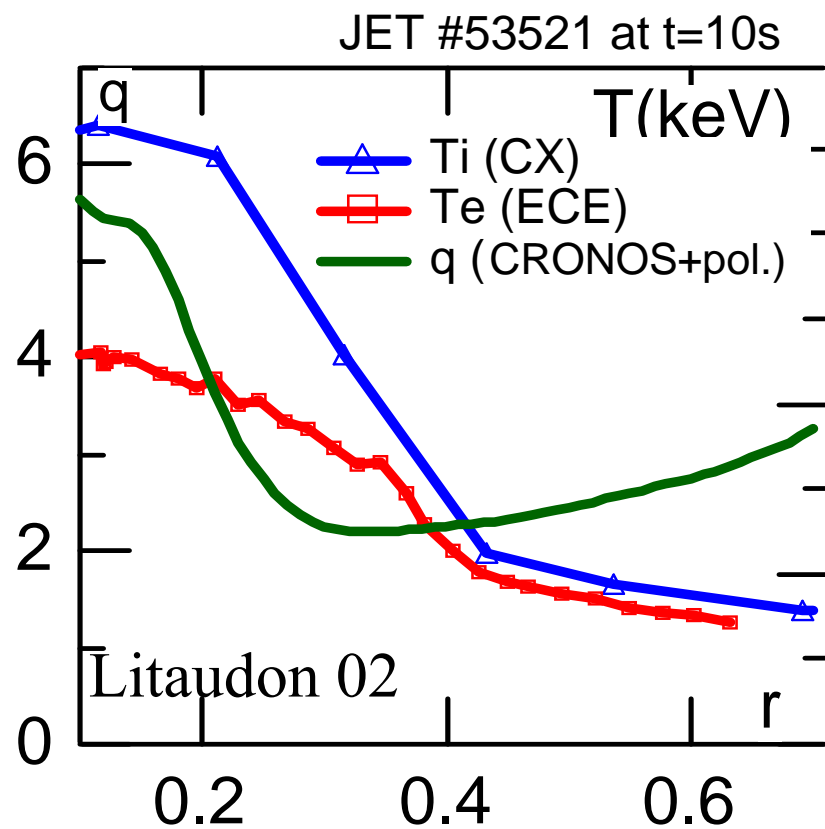
→ adjustment of magnetic shear s to lower γ_{lin} .

Shear flow rate vs.
magnetic shear
JET Tala 00





Turbulence simulations reproduce some of the barrier features



Measured temperature

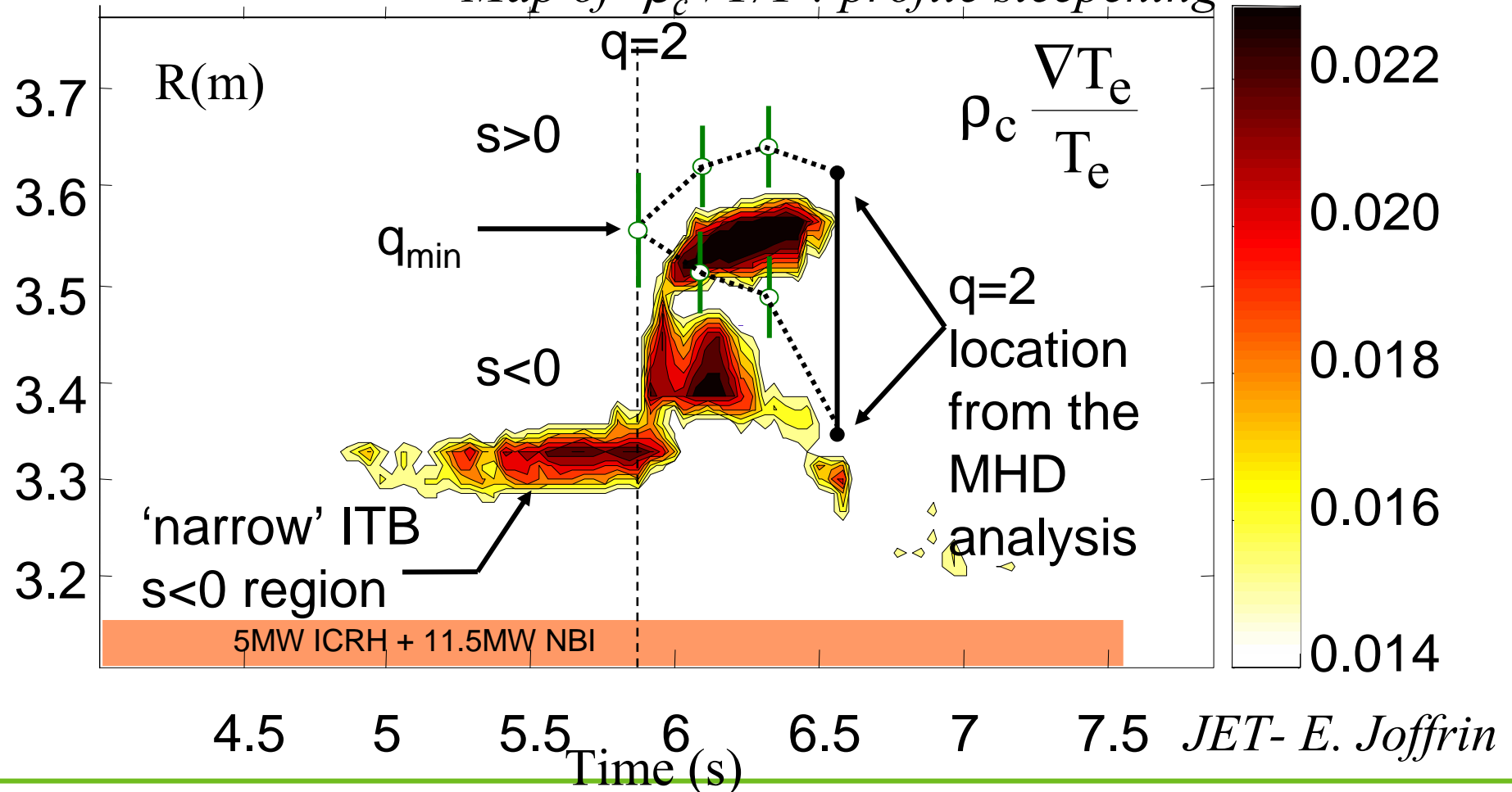
Turbulence simulation



Dynamics of transport barriers is more complex than $s < 0$ and mean shear flow

JET #51573

Map of $-\rho_c \nabla T/T$: profile steepening

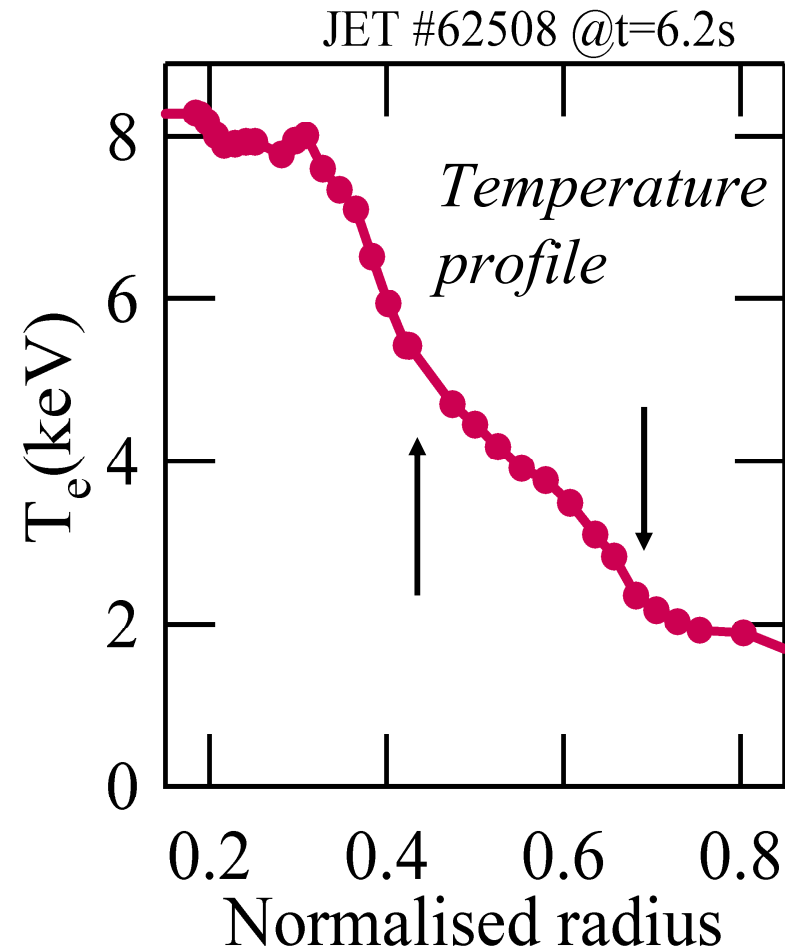


JET- E. Joffrin



Role of low order rational q_{\min} surfaces and onset of double barriers

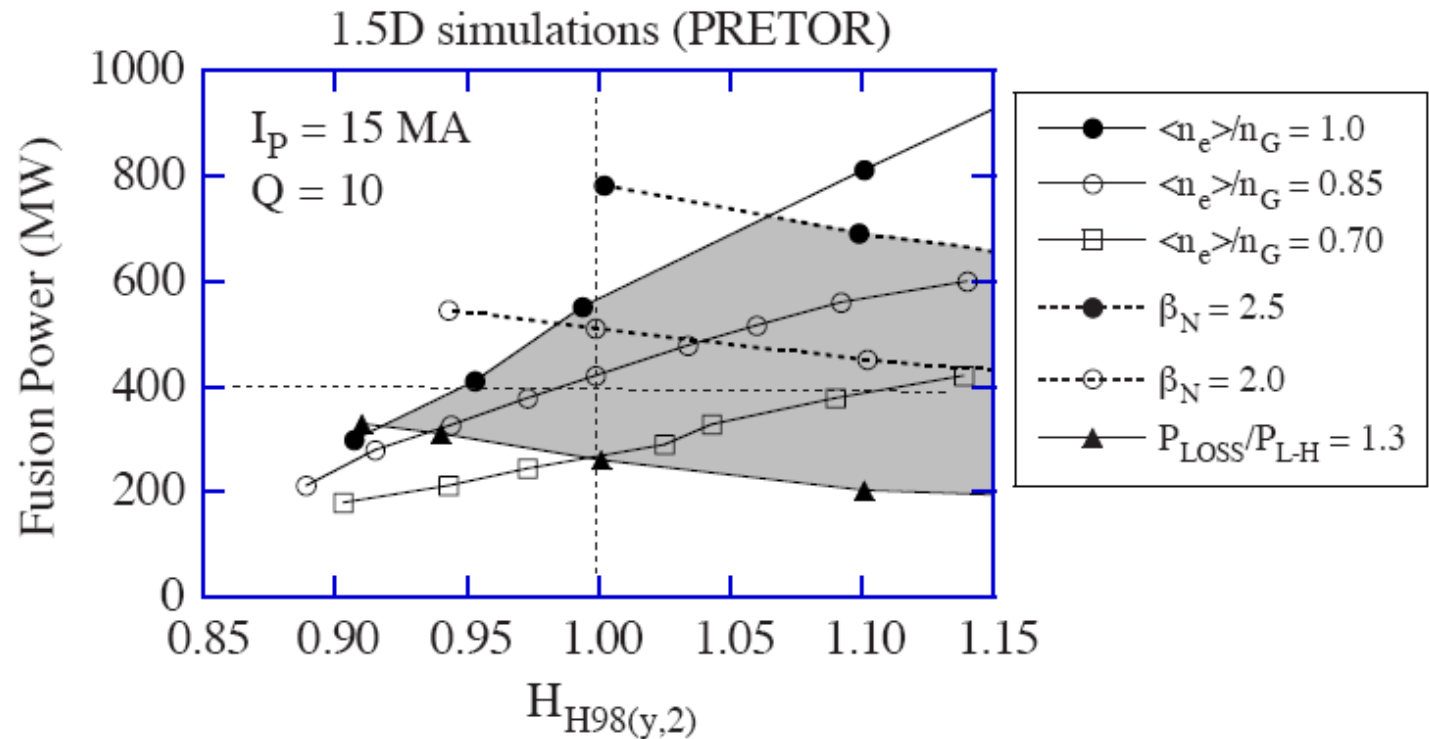
- Persistent feature in JET plasmas.
- Possible explanations:
 - MHD activity Joffrin 02
 - Special role of $s=0$ in turbulence
→ density of rational surfaces ?
Romanelli 93, Garbet 01.
 - large scale flows? Waltz 05, Diamond 06.
- Barriers stick to rational q 's → multiple barriers





Consequences for ITER: H-mode

- The standard scenario is an H-mode : external transport barrier
- $Q=10$ is reached at $I_p=15\text{MA}$ if the confinement is as expected

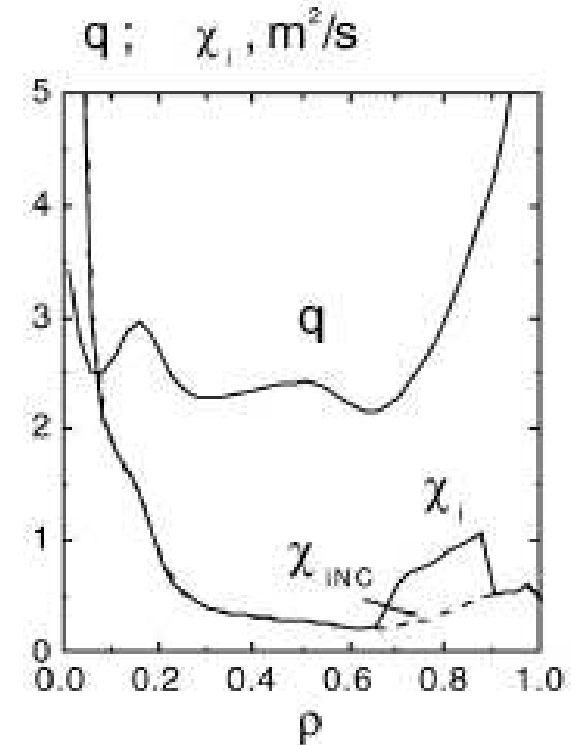
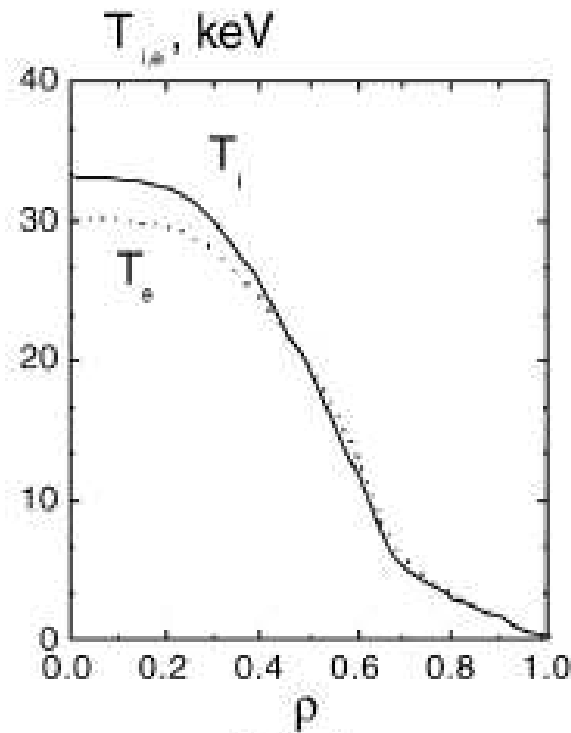




Consequences for ITER: advanced scenarios

Advanced scenarios are foreseen in a second phase.

- The objective is to reach a **steady-state regime**
- Requires an ITB





Conclusions

- Dimensionless scaling laws have proved to be an efficient tool for predicting the confinement in ITER.
- Still uncertainties remain concerning the dependences on v^* and β .
- Transport models can be built based on quasi-linear theory and mixing length estimate.
- However the accuracy of most transport models does not exceed 20%
- Improved models on the basis of a better statistical theory (to be done) or direct use of simulations of turbulence?



Conclusions (cont.)

- Shear flow and magnetic topology optimization provide generic mechanisms to control turbulent transport → improved confinement.
- Turbulence simulations have tested the validity of various theoretical ideas for turbulence quench.
- Provide a solid basis for ITER scenarios.
- Still many issues remain unresolved, in particular the determination of power thresholds for barrier formation.